

# Nature of Nanodiamond State and Applications of Diamond Nanotechnology

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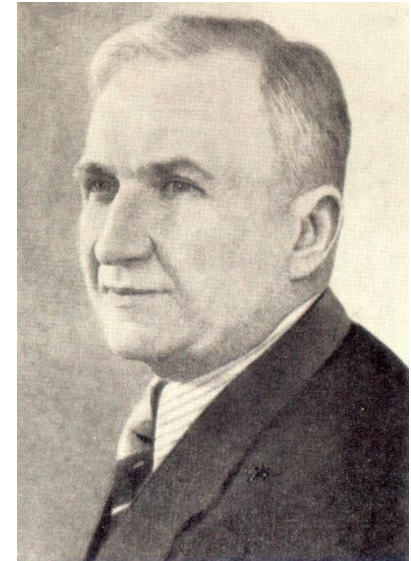
# Presentation Outline

- Introduction: Nanodiamond State (NDS)
- Zoo of Nanodiamond (ND) Where? Why? What?
- Well proved data on ND & NDS
- Established Applications
- Physics, Chemistry and Biology – current
- Fundamental and Applied Prospects
- Conclusions

# Nanodiamond State (NDS)

## *Electronic-vibrational Tamm surface state*

- 1925 – Quantum theory of paramagnetism  
– contribution of the orbital moment
- 1929 – The concept of vibrational quanta in solid (later called **phonons** by Frenkel)  
⇒ *Idea of quantum of sound at ND*
- 1933 – «**Tamm levels**» - certain electron states were due to the existence of the surface ⇒ *1D & 2D  $\ddot{e}$  states at ND*
- 1934 – Any system with **virtual separated charges** should have **magnetic moment** ⇒ *Nature of free spin at ND*



Igor Evgen'evich **Tamm**  
(8/07/1895 – 12/04/1971 )  
1958 – Nobel Prize for the  
Vavilov-Cherenkov effect

# Tamm bound states of electrons & surface vibration of atoms (1955)

## Size effects in nanocrystals

- Surface 2D (facet) & 1D (edge) bands – surface metal-like conductance
- Metal –  $\sigma_S \ll \sigma_V$
- Dielectric –  $\sigma_S \geq \sigma_V$
- $E_{3D} < E_{2D} < E_{1D}$  (bulk solid)
- $E_{3D} > E_{2D} < E_{1D}$  (micro solid)
- $E_{3D} > E_{2D} > E_{1D}$  (nano solid)

## Zero Hall effect & $\rho_H = \rho$

- If  $E_{2D} > E_{1D}$  ( $\sigma_L \geq \sigma_S$ ), then
- in such semiconductor there should generally be absent both a Hall effect and dependence of an electrical conductivity on a magnetic field
- in case of a linear conduction band the magnetic field does not decline conduction electrons

I M Lifshitz & S P Pekar. Tamm bound states of electrons on a crystal surface and surface vibration of lattice atoms *Sov. Phys. Uspekhi*, **56**, 531-568 (1955).

# Zoo of Nanodiamond (ND)

## Was discovered in:

- Meteorites
- Detonation soot
- Nozzles of rocket engines
- CVD films
- Onion-like carbon
- HOPG (Ar<sup>+8</sup> & e<sup>-</sup>, Kr)
- Ion implanting C in Si
- And in many others

## What kind diamond is this?

- Ultra-fine, Ultradispersed
- Nanocrystalline, Cluster
- Modified nanocrystals
- Colloid particles
- Huge diamondoid
- Molecule of bulk diamond
- Carbon diamond-like phase
- Supermolecules

⇒ It requires sure clarification

# Preparation

- Available quantities of nanodiamond powder is manufactured from explosive materials
- Main steps:
  - Preparation "by explosive way"
  - Recovering from detonation soot
  - Purification from impurities
  - Post-shock chemical modification
  - Separation of nanocrystals (size,  $\zeta$ -potential, speed of sedimentation etc.)
  - Passivation and preservation
- *Main mist:* There is no uniform technological protocol yet

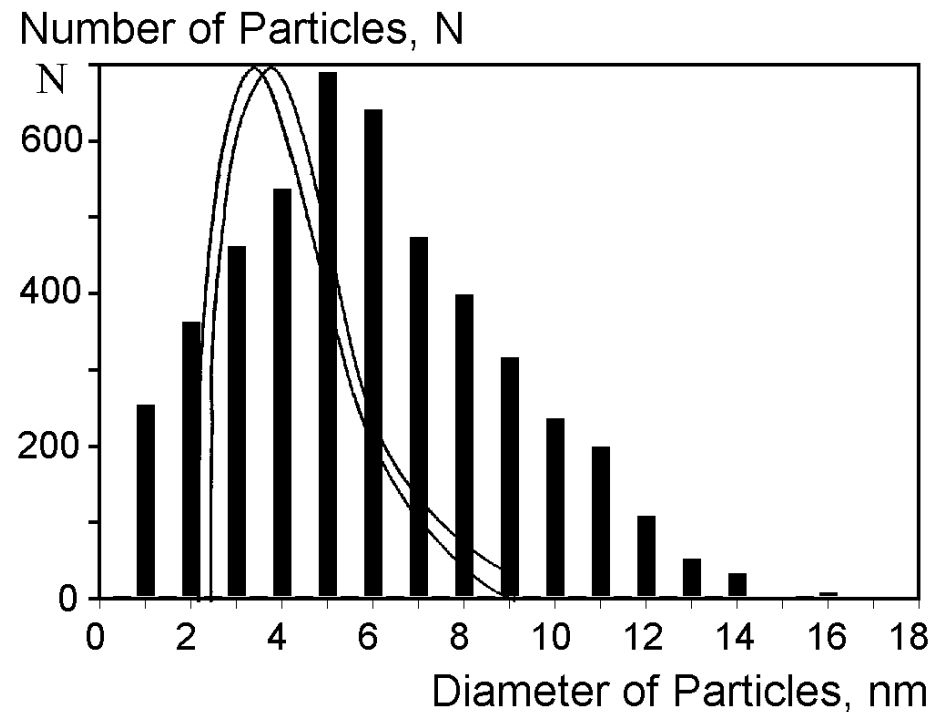
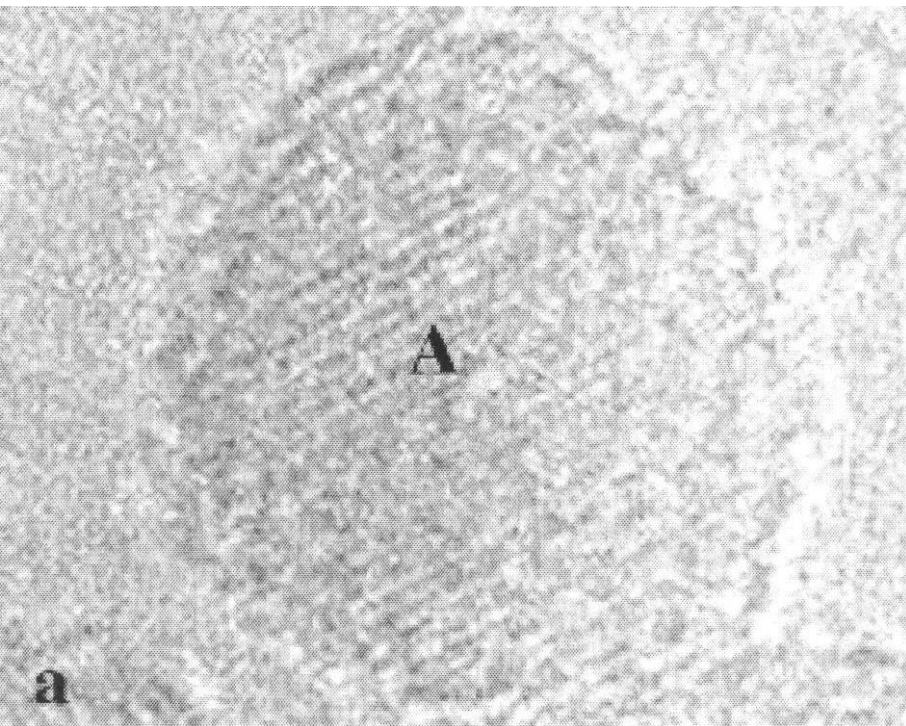
# Properties of NDS are demonstrated in: (the well proved data is included only)

- HRTEM, X-ray and electronic diffraction
- CKVV Auger, EPR & NMR spectra
- Magnetic properties
- Pre peak at X-ray and electronic absorption
- FTIR, HREELS, PEELS
- Thermodynamic stability
- A-band of luminescence, Raman, IR

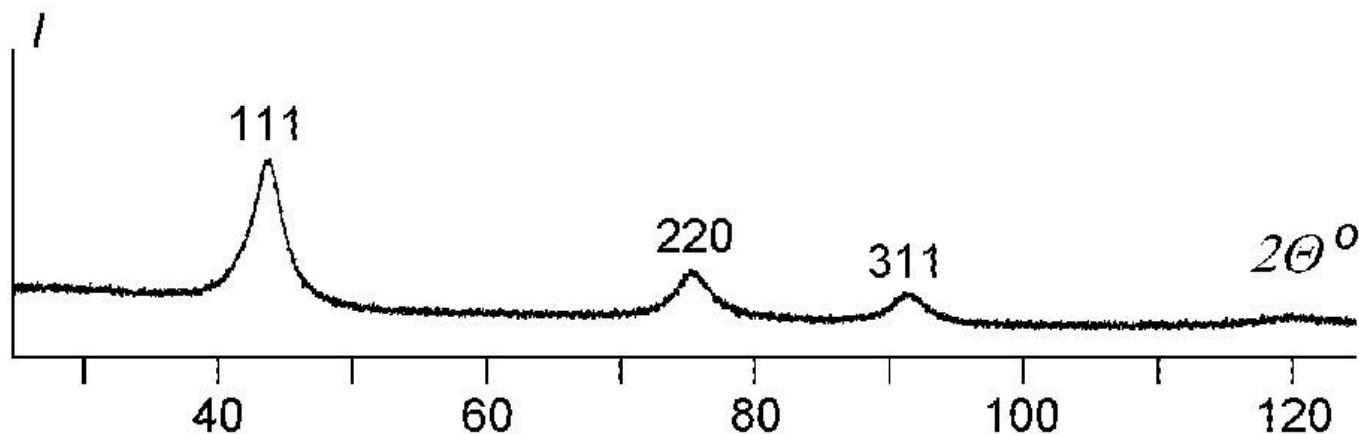




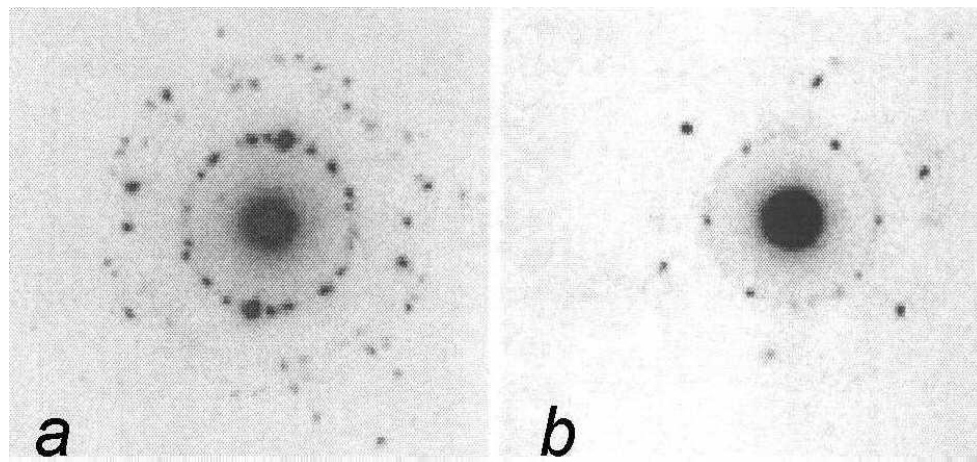
# Molecular-mass (size) distribution



# X-ray and electronic diffraction



- Nano-diffraction from
  - a) several particles
  - b) structure of ND ?
    - two ND particles
    - twinning
    - quasicrystal



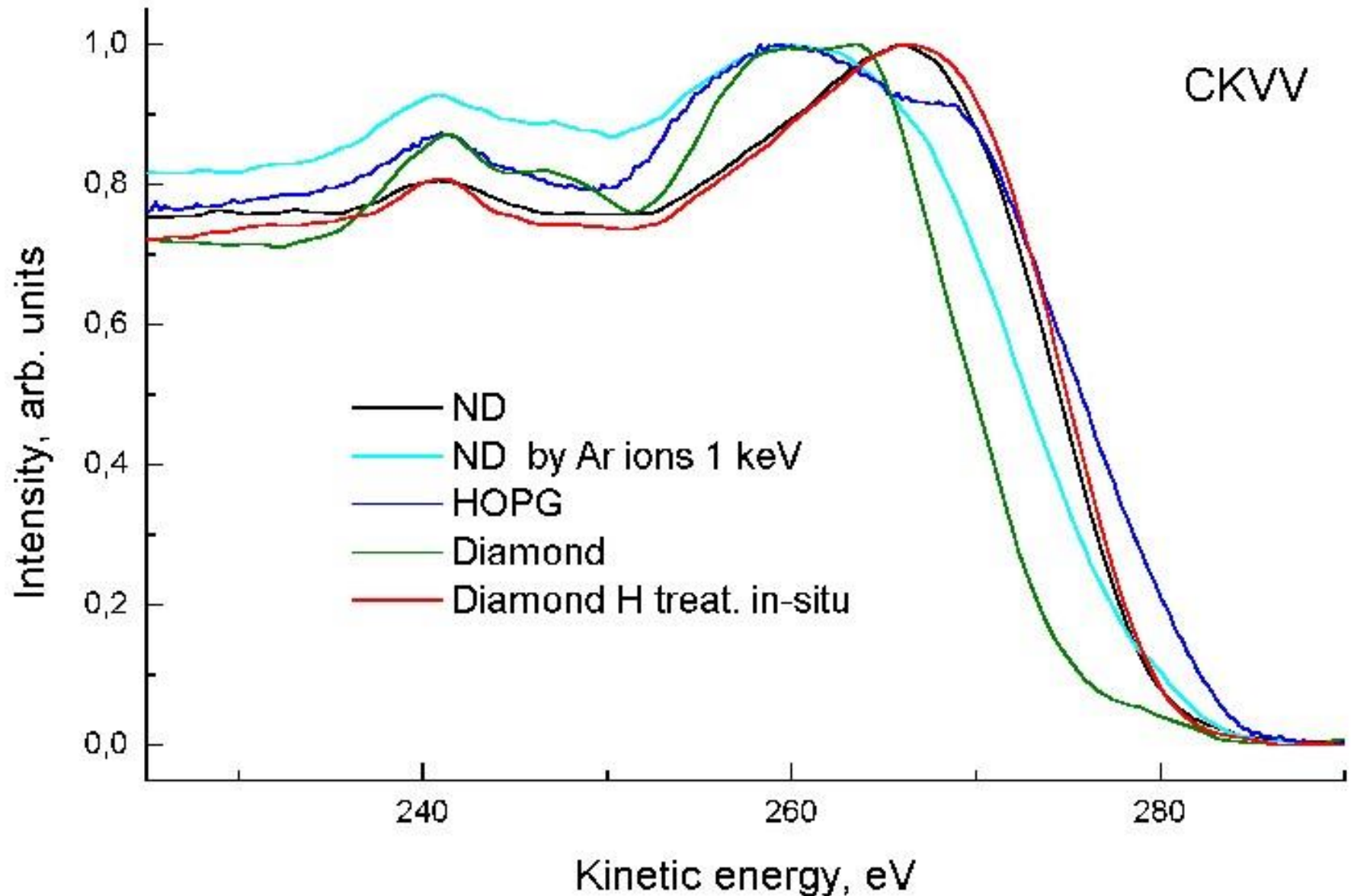
L A Bursill et al. *Int.J.Mod.Phys.* **15**, 4071 (2001)

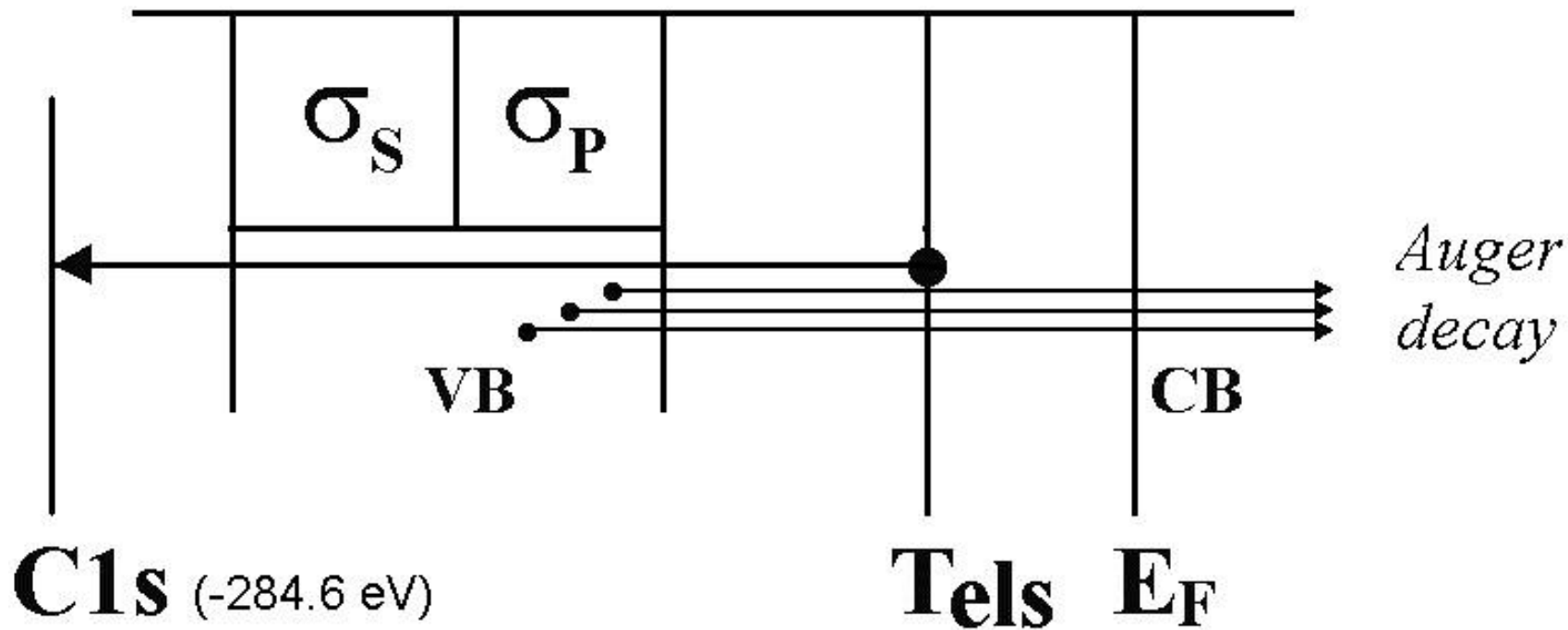
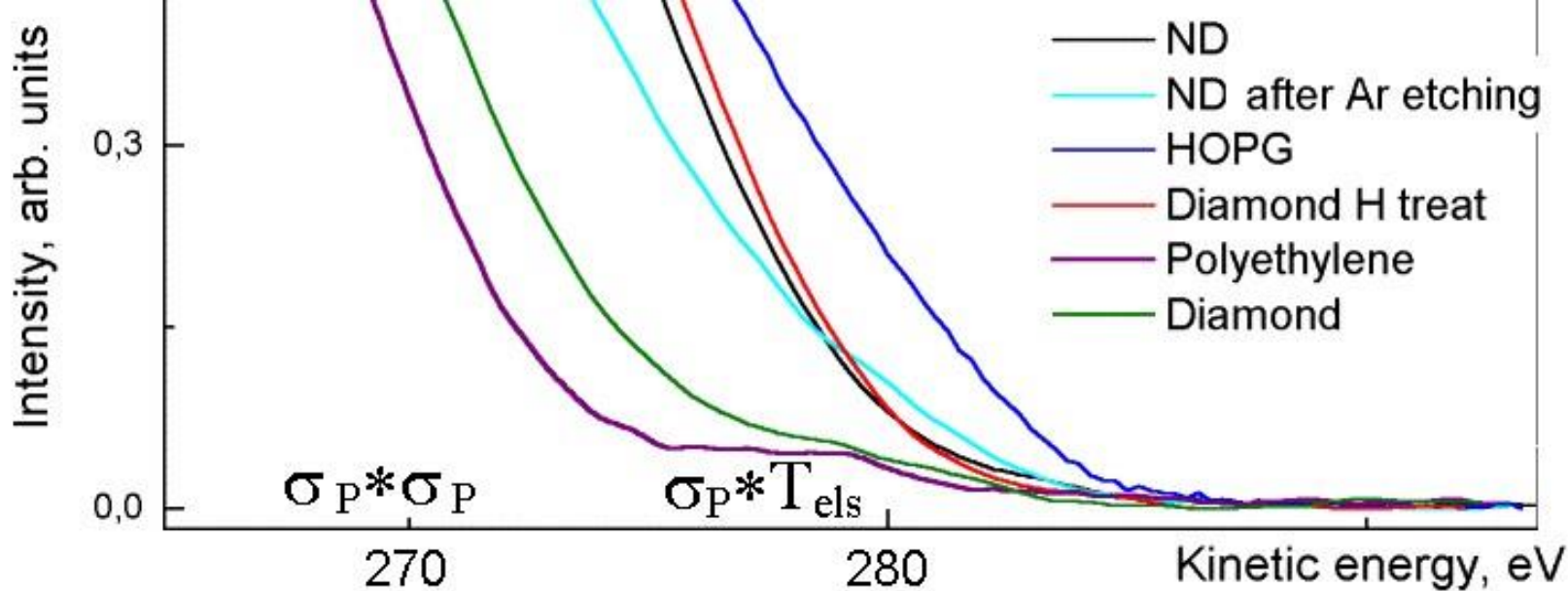
# Diamond surface after H treatment $\Rightarrow$ NDS

- **hydrogen treatment**
  - W hot-filament 2300 K
  - substrate up 1000 K
  - $H_2$   $10^{-6}$  mbar
- 110 single crystal diamond (SCD)
- $ND_{\text{after H-t}} = ND_{\text{before H-t}}$
- $SCD_{\text{after H-t}} = ND$
- Method - CKVV Auger
- **Important observation**
- The reaction of H-treatment with natural diamond produces dramatic changes to the states of the carbon atoms in the upper 2-3 monolayers only and
- i.e. transforms it to NDS
- **NDS is chemically inert**

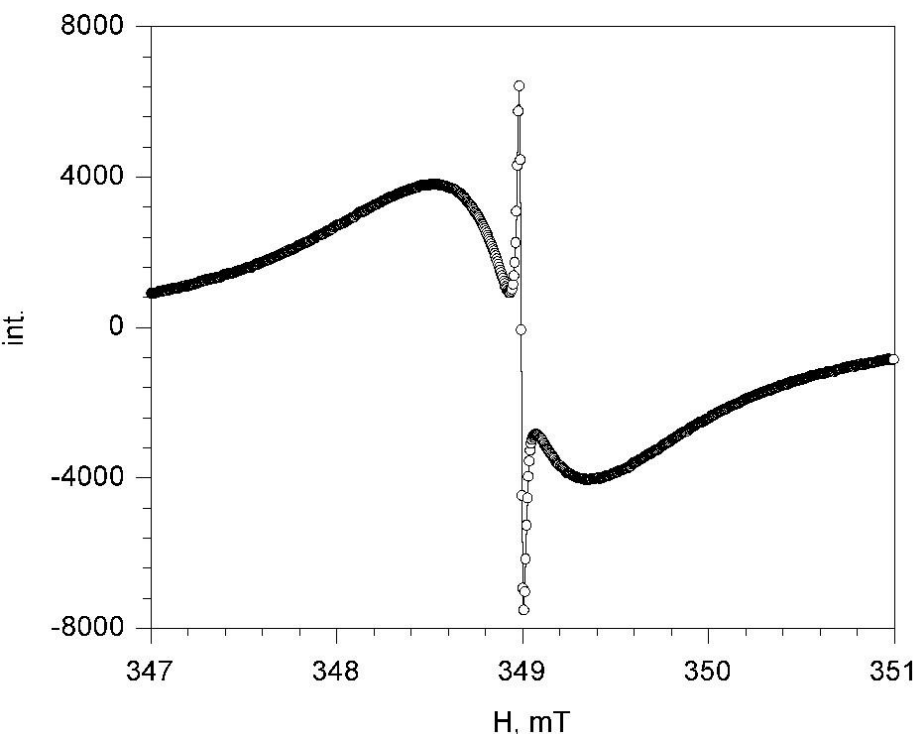
Dementjev et al. 2001 – 2004

# <sup>12</sup>New chemical state is created on the surface $\equiv$ NDS





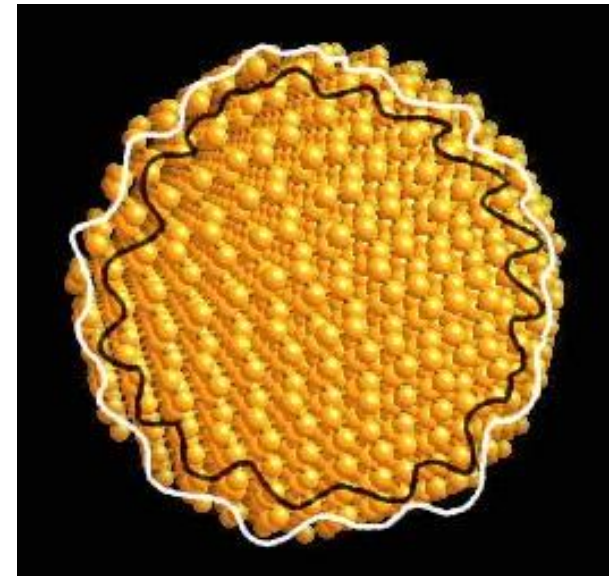
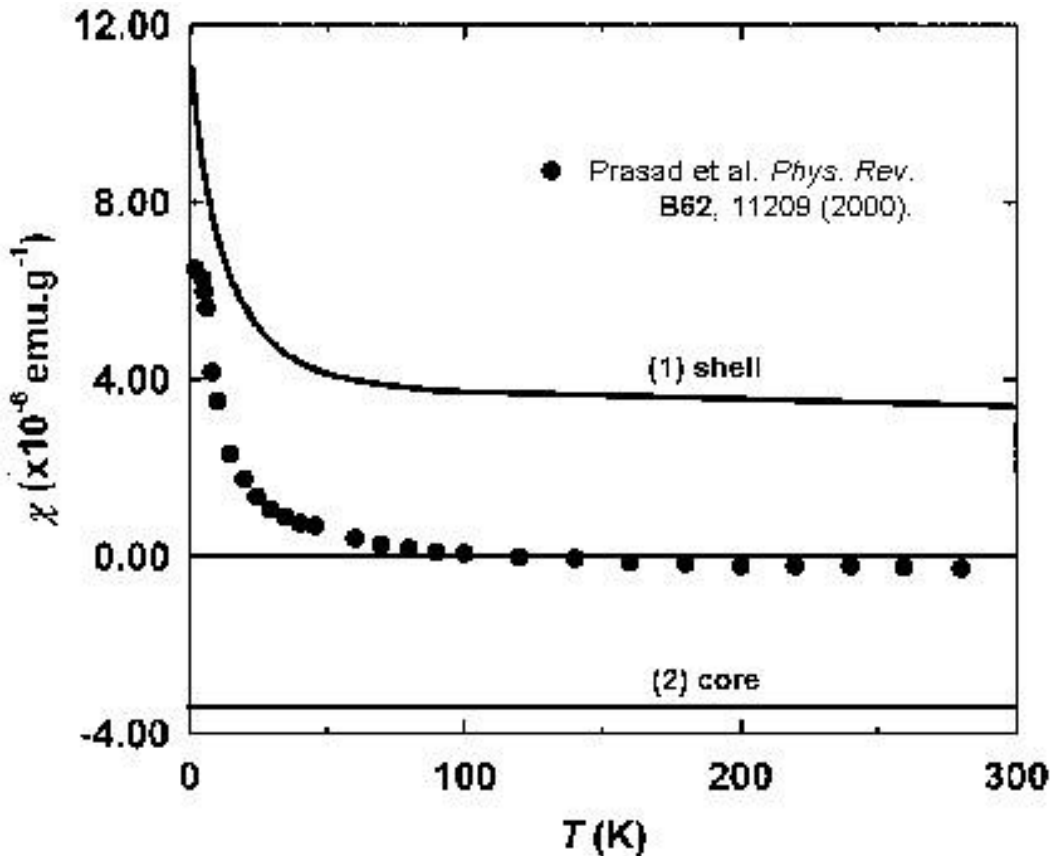
# Electron Paramagnetic Resonance



EPR spectrum of ND (NDC 10)  
with Li standard ( $g = 2.0023$ ).  
Scan - 50 mT, modulation 0.01 mT.

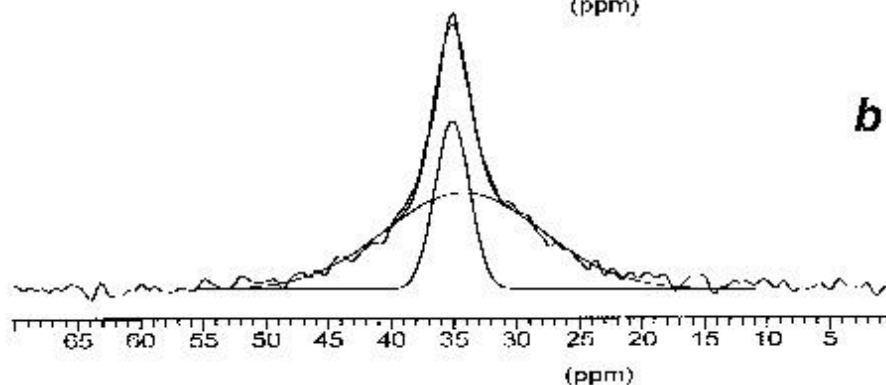
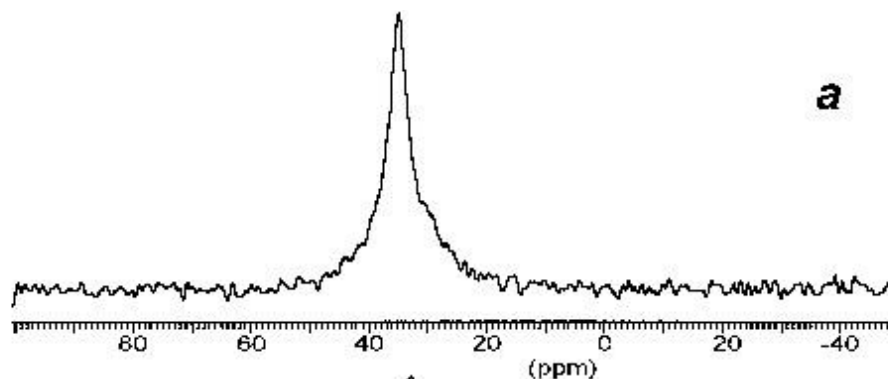
- $N \approx 4 \cdot 10^{19}$  spin / g
- $N \sim 1$  spin per ND particle
- g-value,  $g = 2.0027 \pm 10^{-4}$
- line width,  $\Delta H = 0.86 \pm 0.02$  mT
- are independent of the
  - temperature (77 - 1000 K)
  - composition
  - structure
  - and state of ND surface
- The absence of saturation

# Magnetic properties



- ND consists of
- diamagnetic core and
- paramagnetic shell
- are determined by electronic density at Tamm's surface levels

# The $^{13}\text{C}$ NMR spectra of ND

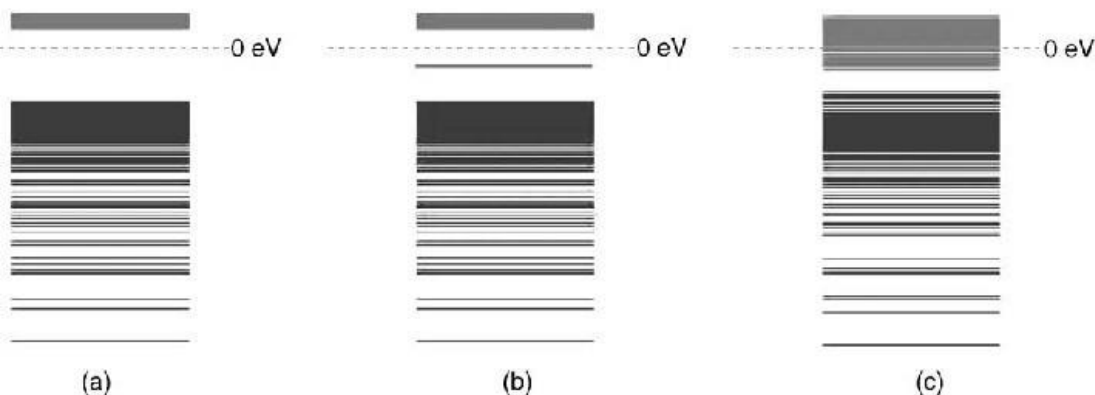


- CXP-400 Bruker spectrometer with  $H_0 = 9.4$  T at  $\omega = 100.6$  MHz
- The interaction with protons was suppressed
- The rotation under the magic angle at a frequency  $> 1$  kHz was used
- Accumulation - 500 spectra
- Decomposition into two Gaussian components:
  - 1 - 30% normal  $sp^3$
  - 2 - 70% distorted  $sp^3$

Nos.	Position		Width		Amplitude, arb. units	Relative integral intensity
	Hz	ppm	Hz	ppm		
1	3532	35,104	278	2,763	4,18	27,83
2	3445	34,236	1261	12,532	2,39	72,17



# Electronic properties



Band diagrams (HOMO and LUMO) of:

(a)  $C_{60}H_{60}$   $E_g=12.5$  eV;

(b) radical state  $C_{60}H_{60} \rightarrow C_{60}H_{59}^\bullet$ ;

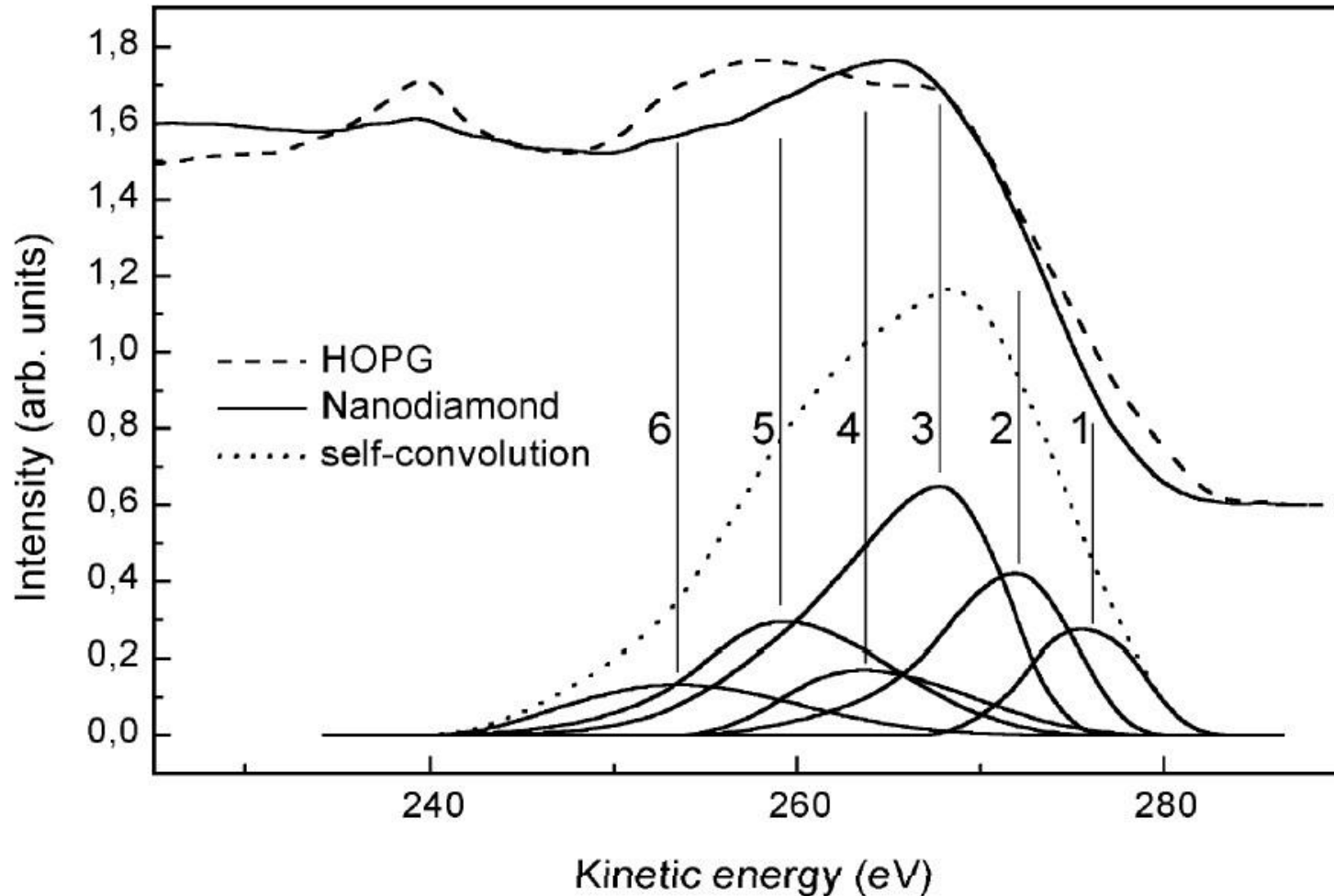
(c) complete dehydrated state

$C_{60}H_{60} \rightarrow C_{60}$  (relaxed)  $E_g=5.3$  eV.

The NDS electronic structure forms  $\sigma_s^1 \sigma_p^2 \pi^1$  surface states without overlapping of  $\pi$  - levels

- Electronic state is  $\sigma_s^1 \sigma_p^2 \pi^1$  no  $\pi$ -band
- Appl Surf Sci **215**, 169, 2003

# CKVV Auger spectroscopy



CKVV Auger spectra from HOPG and nanodiamond with the self-convolution.

1 –  $\pi \times \pi$ ; 2 –  $\sigma_p \times \pi$ ; 3 –  $\sigma_p \times \sigma_p$ ; 4 –  $\pi \times \sigma_s$ ; 5 –  $\sigma_p \times \sigma_s$ ; 6 –  $\sigma_s \times \sigma_s$ .



DOS and  $\epsilon''$  plots for different size carbon clusters: (i) 528, (ii) 1050, (iii) 4048, (iv) 8120, and (v) 13464 carbon atoms.

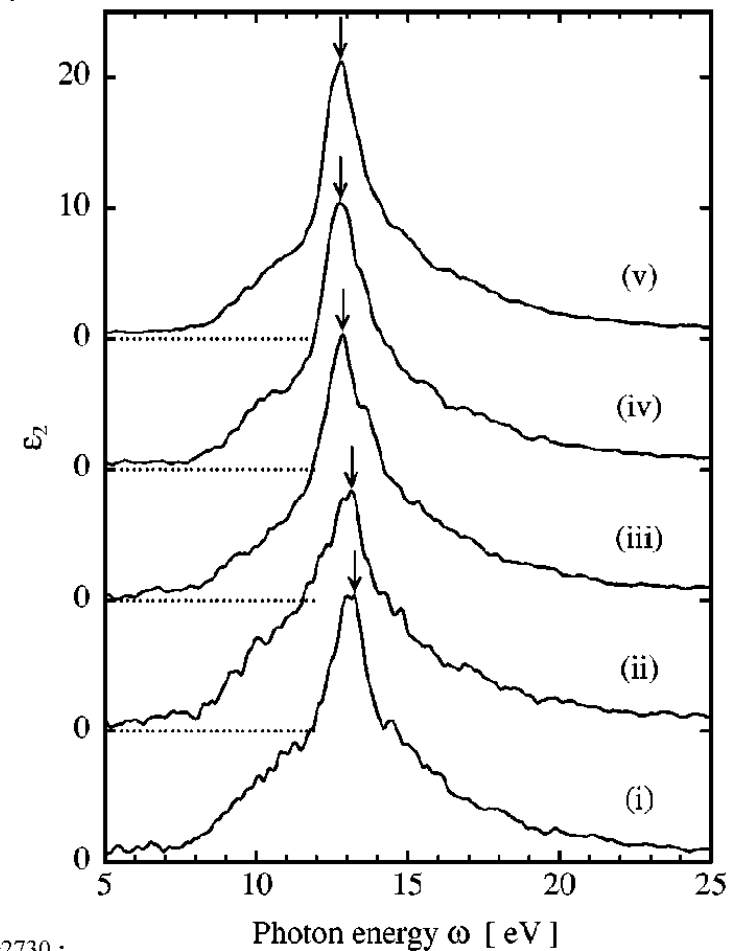
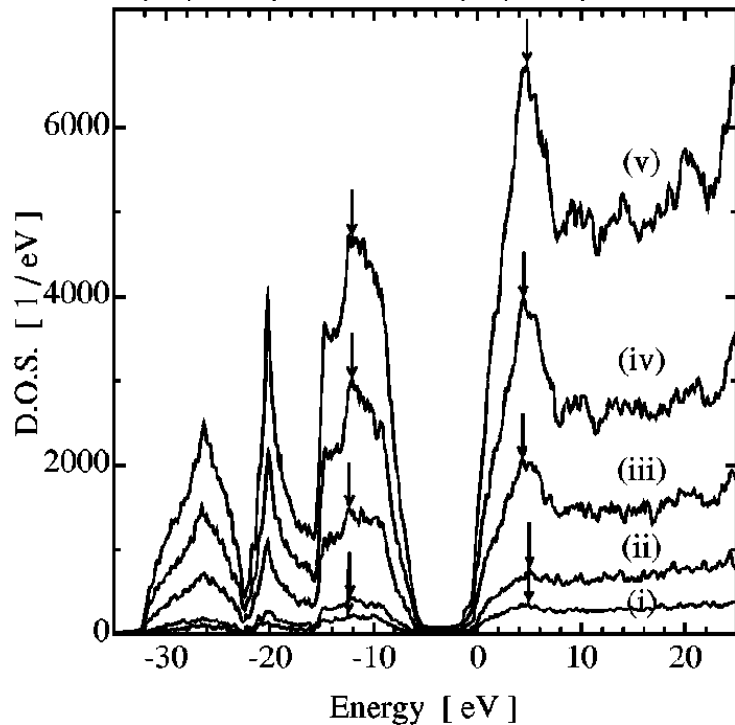


FIG. 2. Density of states of hydrogenated carbon nanocrystallites:

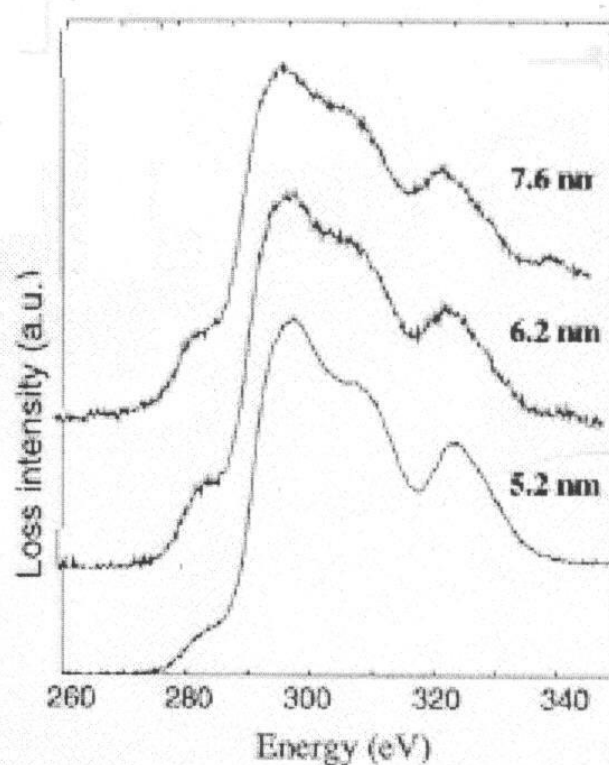
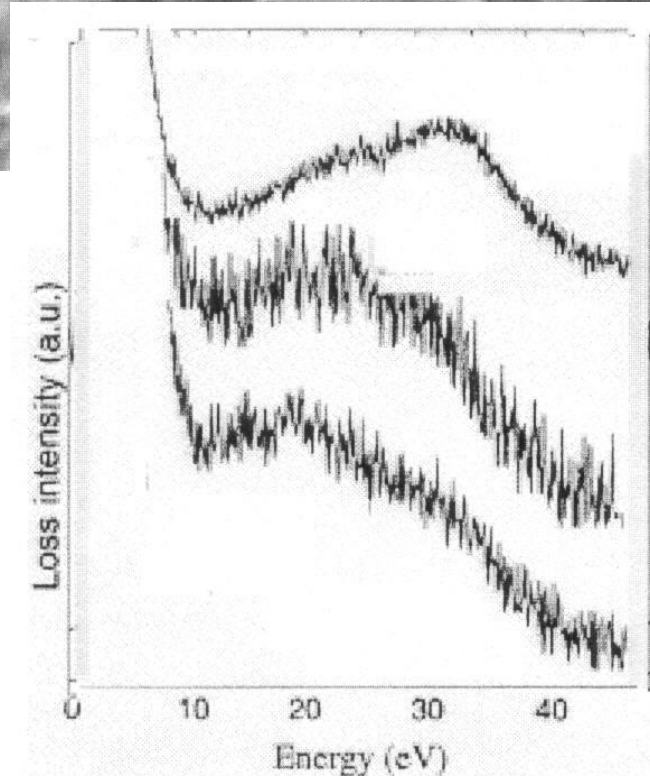
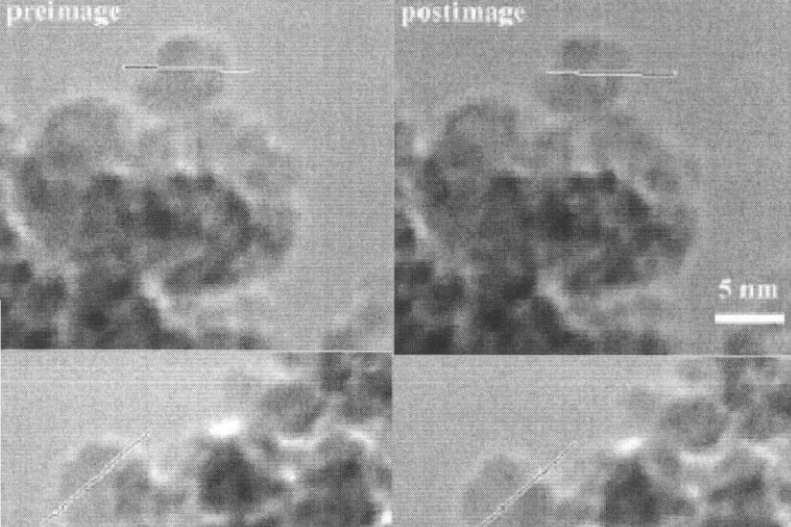
FIG. 3. The imaginary part of the dielectric function of hydrogenated carbon nanocrystals:

(i)  $C_{528}H_{294}$ , (ii)  $C_{1050}H_{498}$ , (iii)  $C_{4048}H_{1182}$ , (iv)  $C_{8120}H_{1950}$ , and (v)  $C_{13464}H_{2730}$ .

Y. Kurokawa et al. Phys. Rev. B 61, 12616-9 (2000).

# Line scan PEELS for low-loss and core loss energy ranges

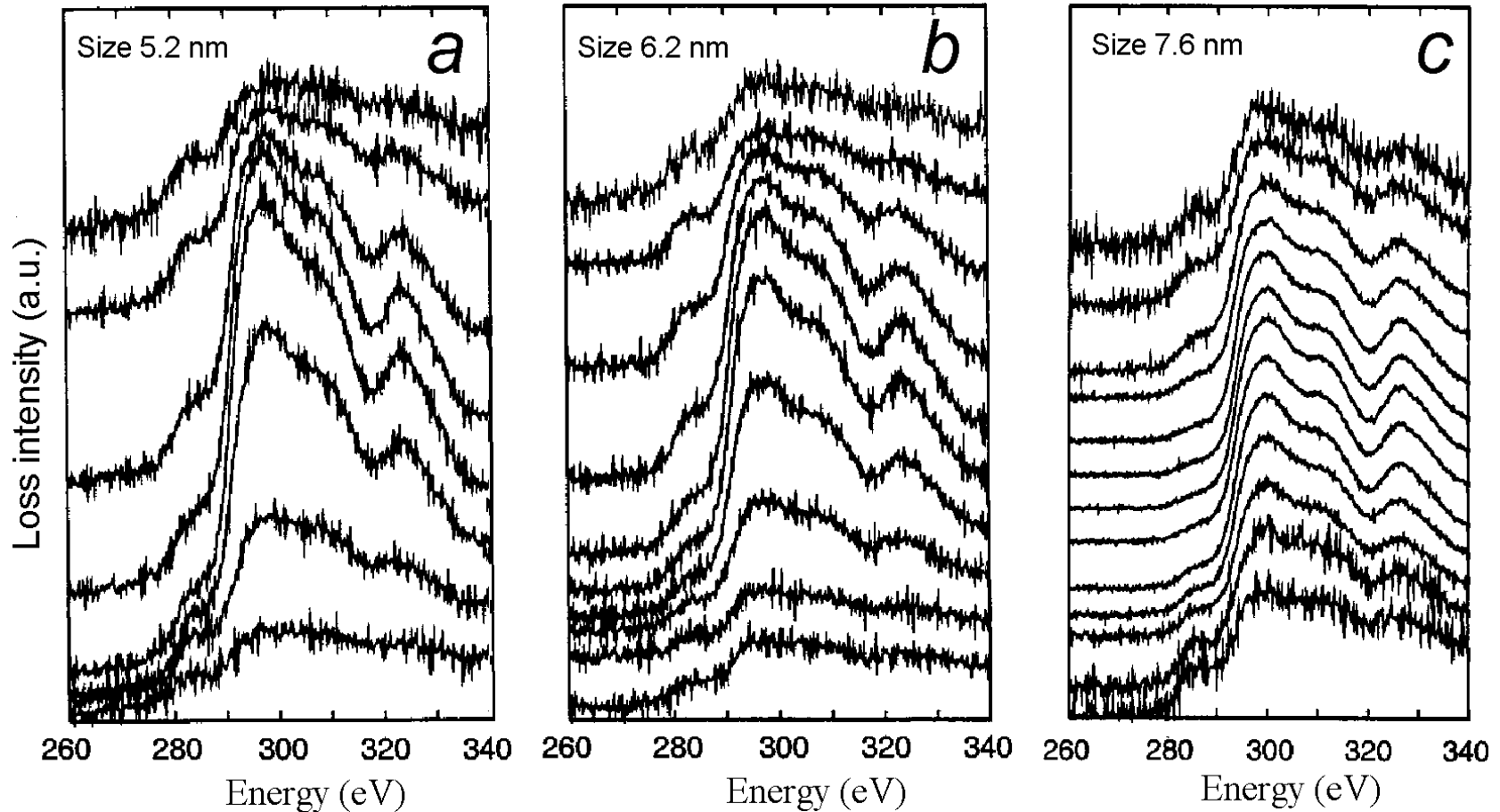
Pre- and post-PEELS images, used to control quality of specimen drift, contamination and beam damage during data collection



At the low-loss range surface (12–24 eV) and bulk (30–33 eV) plasmons depend on a size of ND.



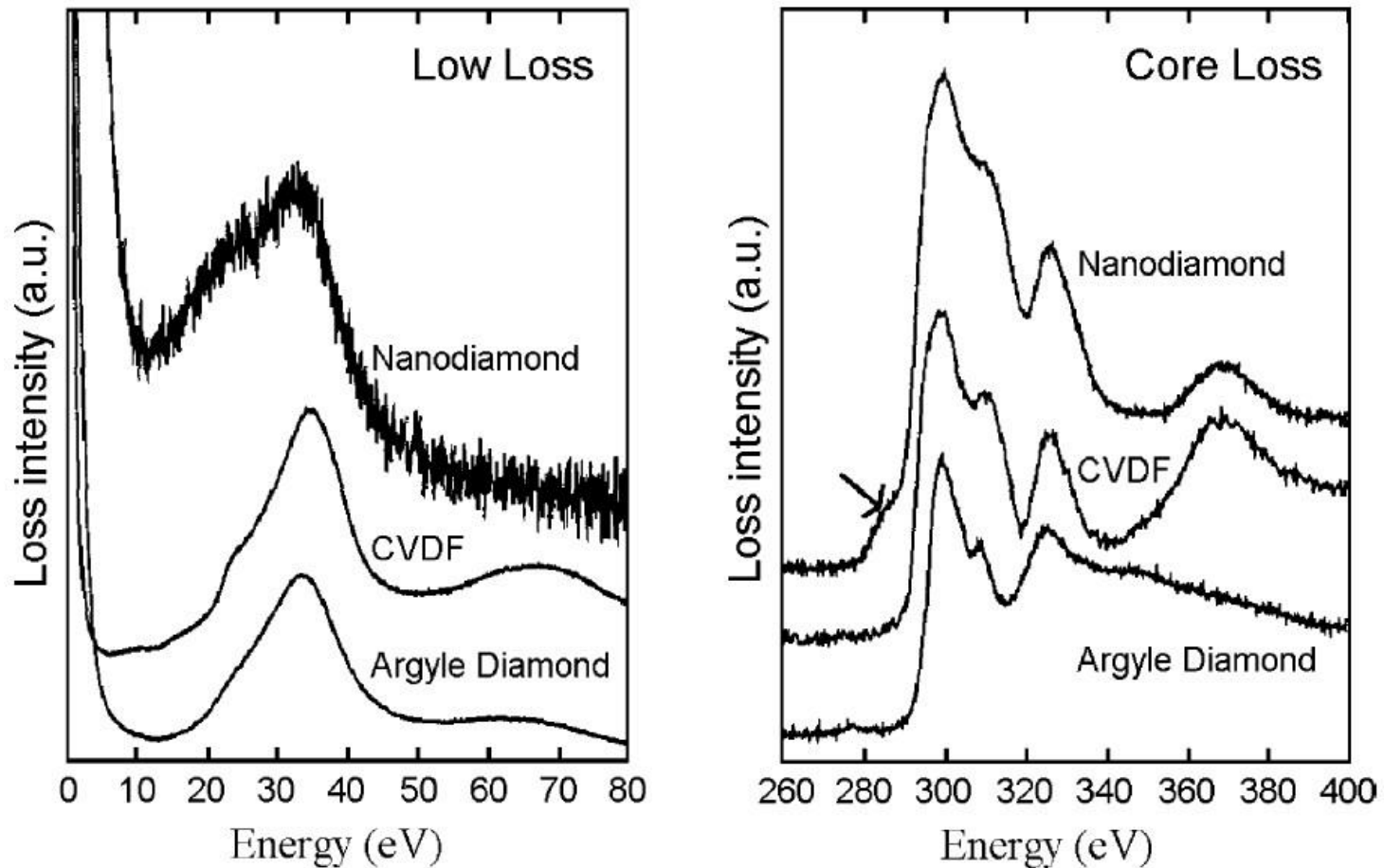
# $^{21}$ Pre K-edge signal – main property of ND



Line scan parallel electron energy loss spectrum for core-loss energy ranges for three ND particles of diameter (a) 5.2, (b) 6.2 and (c) 7.6 nm.

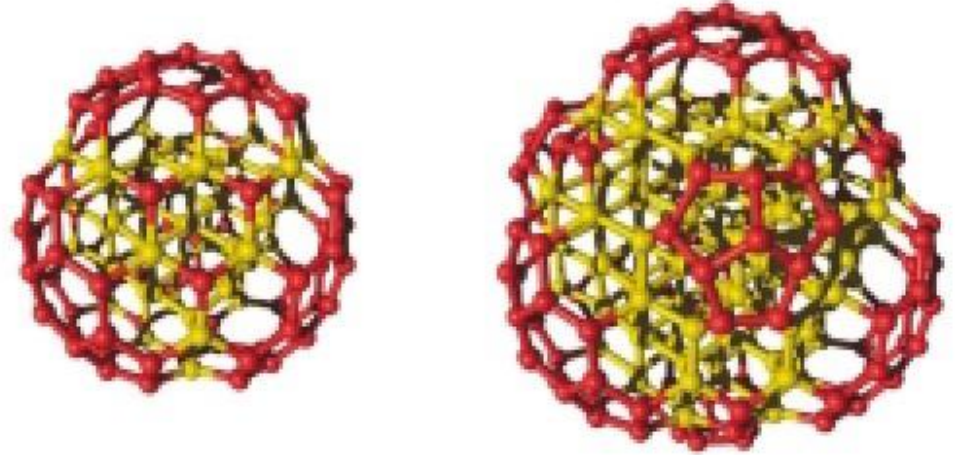
Pre-peak (280–295 eV) characterises ND at the core-loss range

# PEELS of ND

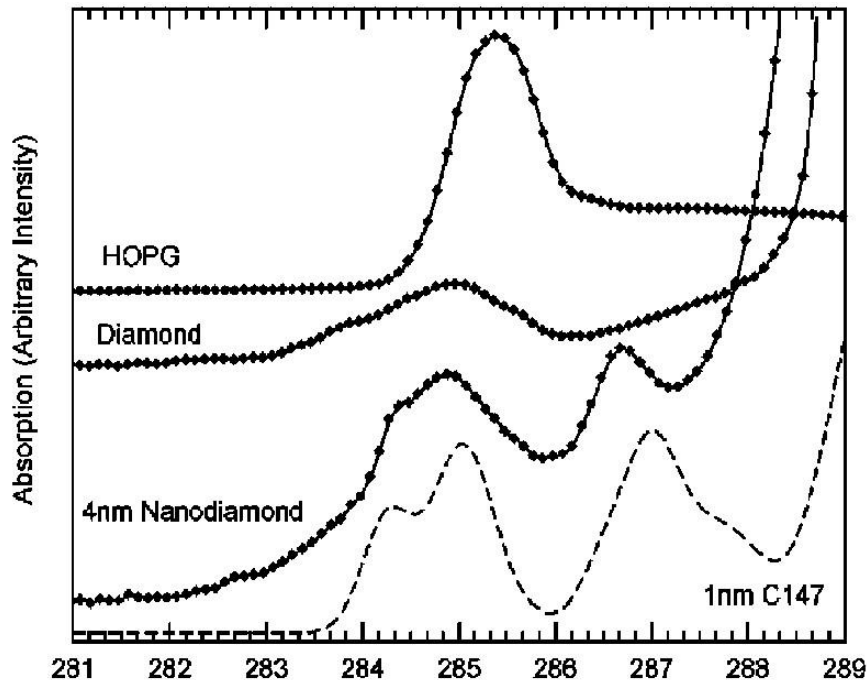


- Integral intensity of electron energy loss spectrum (left) low-loss and (right) core-loss ND diameter 7.6 nm, CVDF micron size diamond, and natural Argyle diamond using 100 keV electrons. Arrow labels pre-peak

# «Bucky Diamond»



$C_{147}$  and  $C_{275} \approx 1.2$  and  $1.4$  nm in diameter



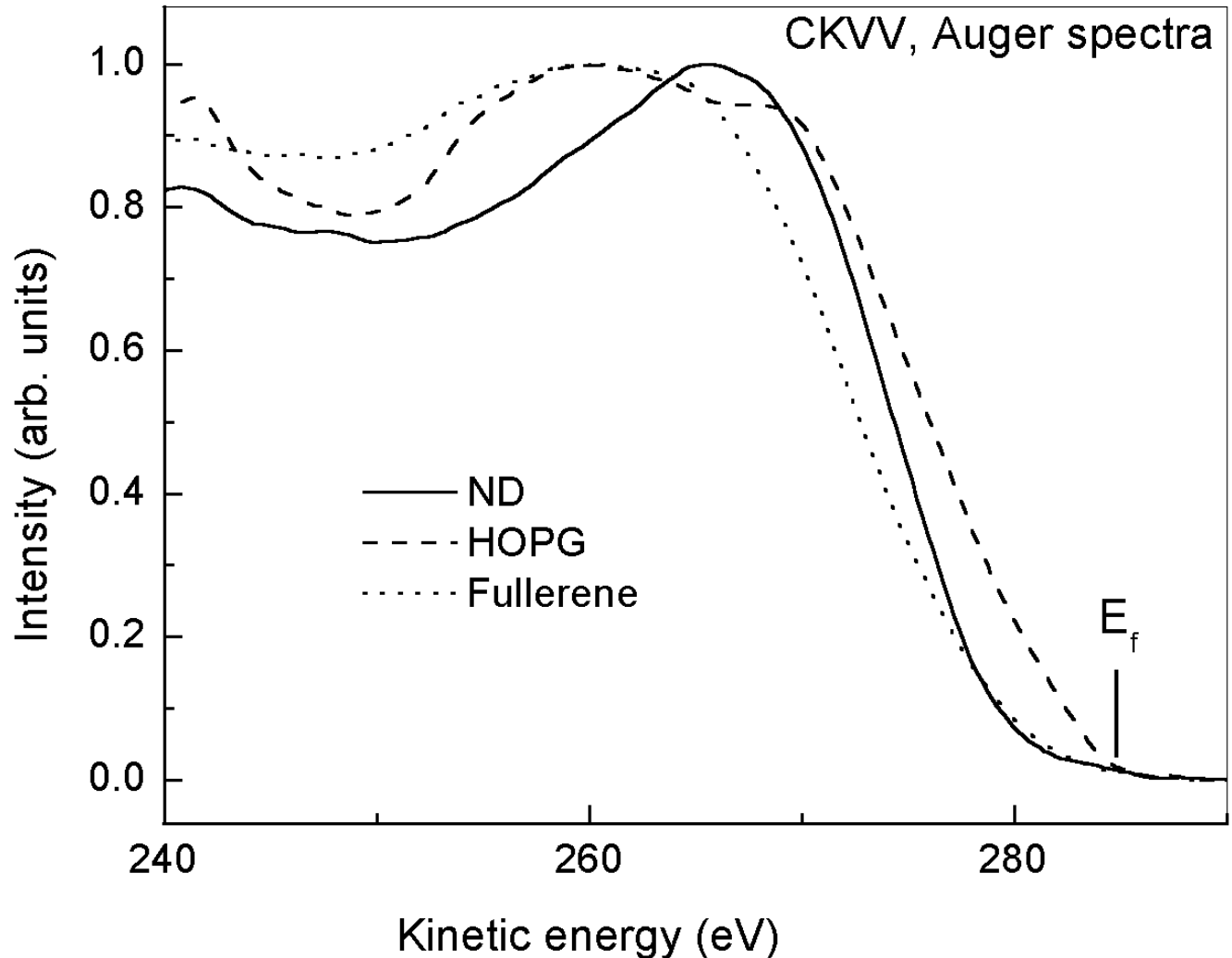
X-ray absorption and emission experiments and *ab initio* calculations showing

- ND size must be reduced to 2 nm, in order to observe an increase of its optical gap, at variance with Si and Ge where quantum confinement effects persist up to 6-7 nm
- Bucky Diamonds. Signatures: pre-edge features in X-ray spectra

J Y Raty et al. *Phys Rev Lett* **90**, 37401(2003)

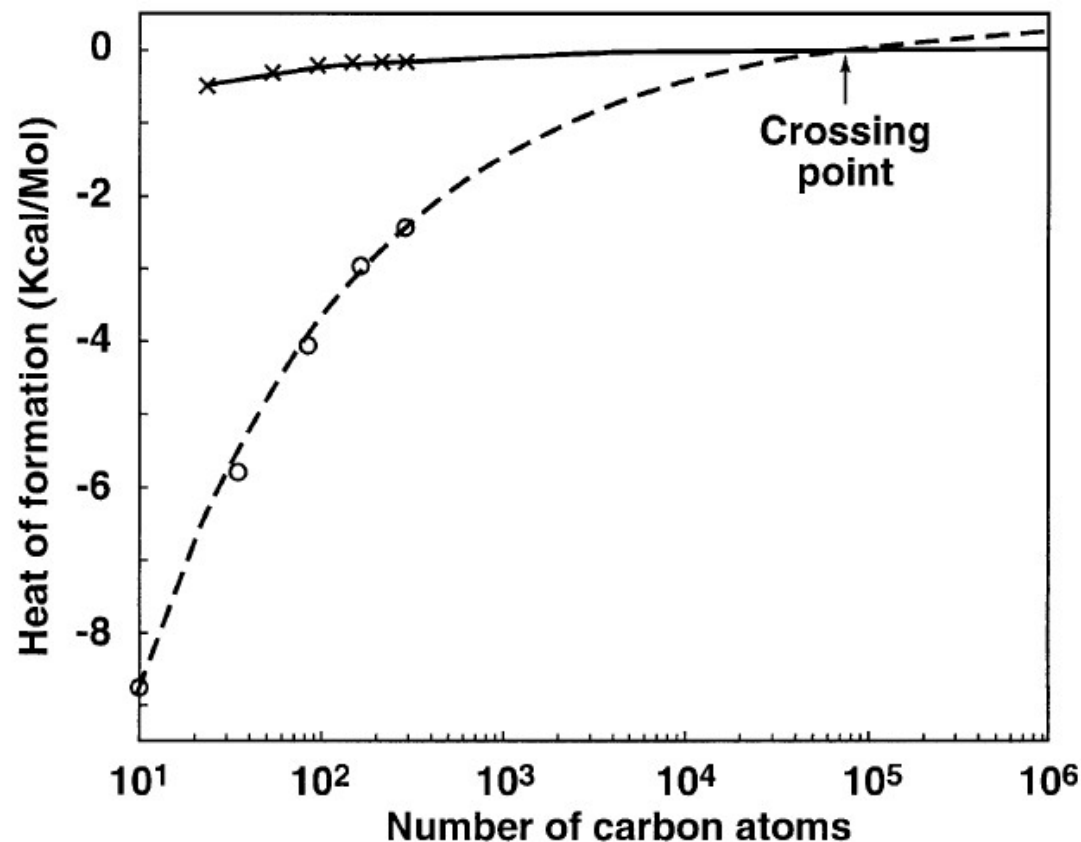
# NDS is not «Bucky Diamond»

NDS is not  
«bucky  
diamond»  
as Fullerene  
spectrum  
does not  
coincide  
with ND  
spectrum





# Thermodynamic stability



Comparison of the cluster size dependence of the heat of formation,  $H_f(\text{sp}^3)$  and  $H_f(\text{sp}^2)$  by using the AM1 HF method. The fits to the  $\text{sp}^3$  (plotted as O's) and  $\text{sp}^2$  (plotted as X's) data are given by the dashed and solid lines, respectively.

F H Ree et al. Kinetics and thermodynamic behaviour of carbon clusters under high pressure and high temperature // *Physica B: Condensed Matter*, **265** (1-4), 223-229 (1999).

# The properties of NDS are uniform

- Paramagnetic invariance
- Auger decay invariance
- Surface plasmon = de Broglie resonance
- Electronic state is  $\sigma_s^1 \sigma_p^2 \pi^1$  no  $\pi$ -band
- NDS of diamond surface = NDS of ND  
i.e. DQD is «dot» of diamond surface

# Established Applications

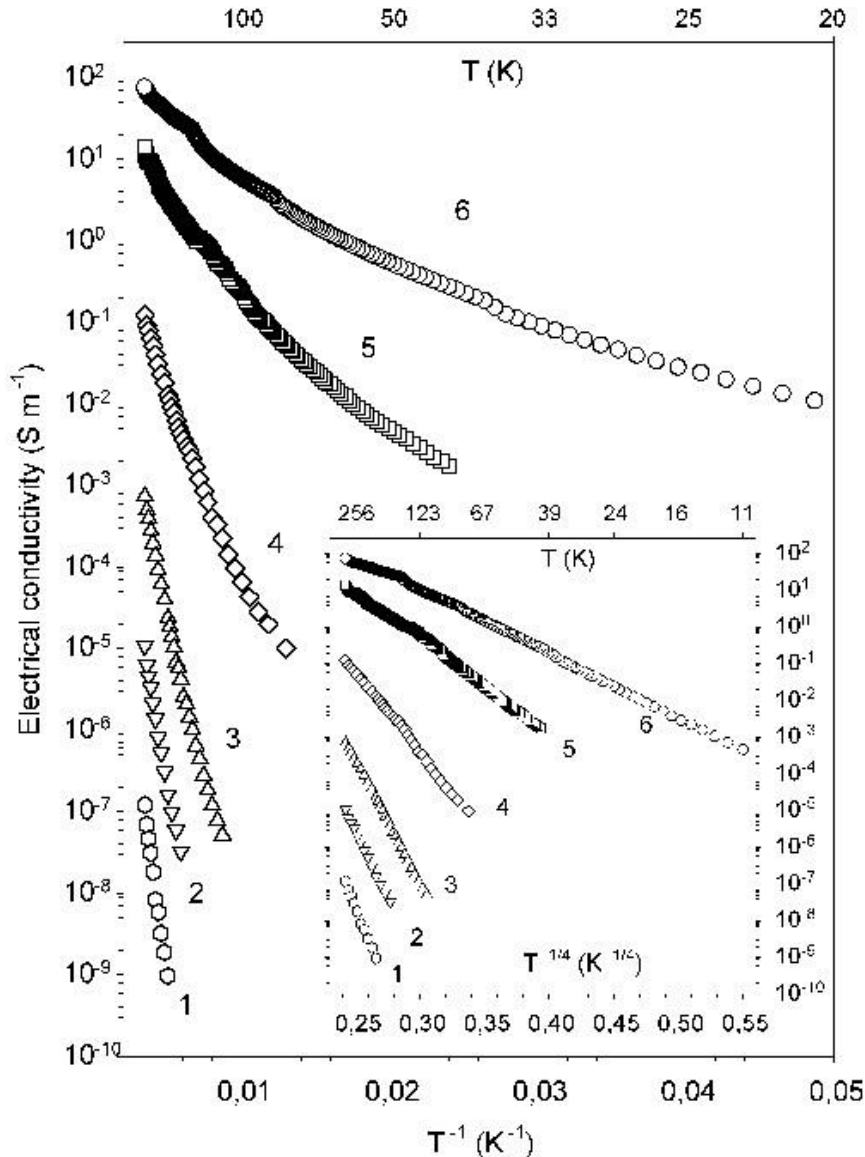
- Precursor of NCD & UNCD films (CVD etc.)
- New Material Development
  - Solid Semiconductor from Nanodiamond (NDC)
  - Nanocrystalline diamond (NCD) films for NEMS
  - Ultrananocrystalline diamond (UNCD) films
- Covering magnetic and optical disks
- Ultra fine polishing of wafers & glasses



# Diamond Nanotechnology

- ND discovery – 1963 & 1982 RU, 1988 USA
- Diamond Nanotechnology RU & USA (1991)
- New applications for diamond nanotechnology
- Physics, Chemistry, Biology
- Nanodiamond – Pyrocarbon Composite NDC
- The reconstruction of ND surface

# Solid Semiconductor from ND



- Solid (bulk) material
- ND & pyrocarbon (NDC)
- Low-dimensional porous semiconductor of p-type
- Size ND  $\sim 4 - 5$  nm
- Size of pores  $\sim 8 - 10$  nm
- Zero Hall effect &  $\rho_H = \rho$
- #1-#6:  $\gamma = 0.5, 5, 10, 20, 30, 40$  (% mass of pyrocarbon)



# Solid Semiconductor from ND

Parameter set of the temperature dependence of NDC conductivity.

Value of parameters is found from the formulas.

$E_a$ :  $\sigma = \sigma_0 \exp(-E_a/kT)$  at  $\sigma_0 = \text{const}$ ;

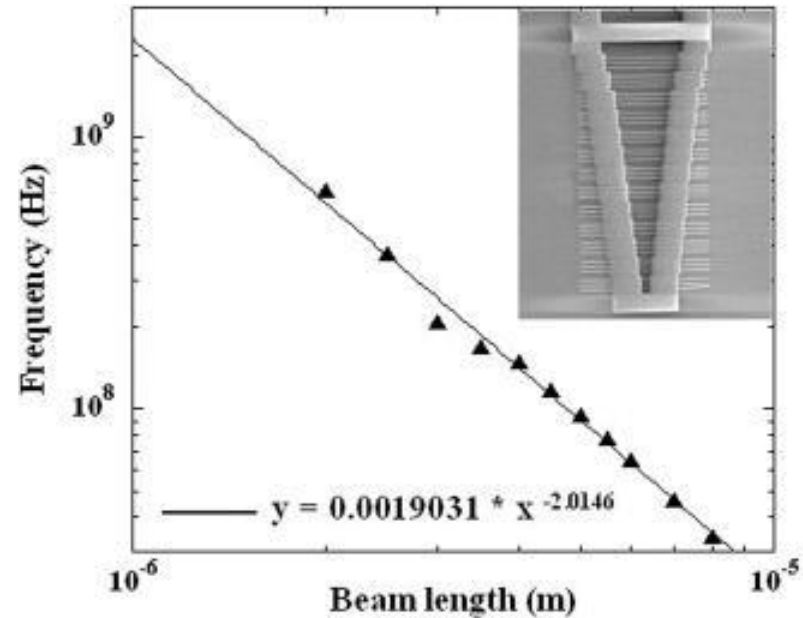
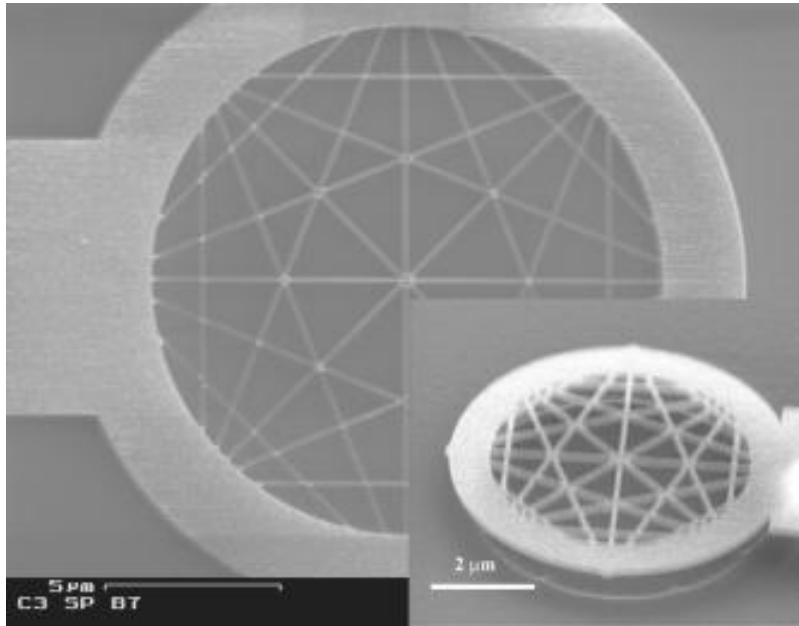
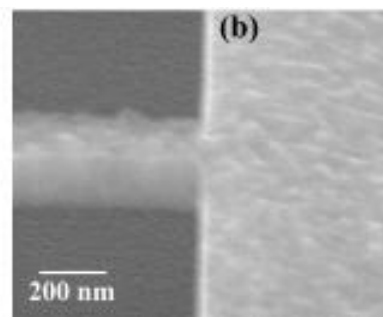
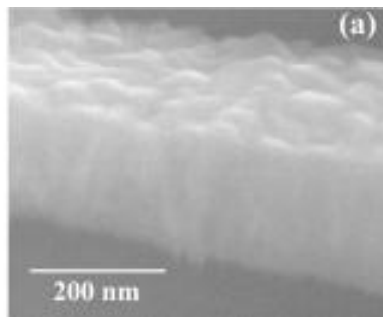
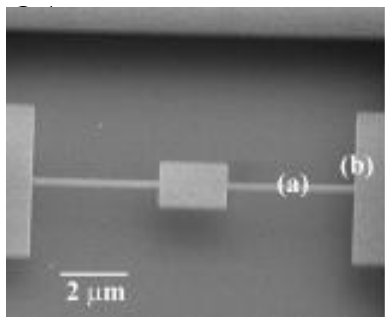
$E_\sigma$ :  $\sigma = (\sigma_m/T) \exp(-E_\sigma/kT)$  and  $\sigma_m = 8.6 \cdot 10^5$  S/m for all samples at  $E_\sigma = 0$  и  $T=290$ ;

$B$ :  $\sigma \sim A \cdot \exp(-B/T^{1/4})$ .

Sample	$\gamma$ , %	sp2, %	$\rho_{290}$ , ohm*m	$E_a$ , eV	$E_\sigma$ , eV	B
NDC 0	0	0	$1.2 \cdot 10^9$			
#1 NDC 0,5	0,5	0,5	$8.2 \cdot 10^6$	0,287	<b>0,311</b>	
#2 NDC 5	5	5	$9.2 \cdot 10^4$	0,227	<b>0,248</b>	
#3 NDC 10	10	9	574	0,155	<b>0,173</b>	62
#4 NDC 20	20	17	1,55	0,082	<b>0,101</b>	40
#5 NDC 30	30	23	0,096	0,051	<b>0,069</b>	24
#6 NDC 40	40	29	0,015	0,033	<b>0,052</b>	17

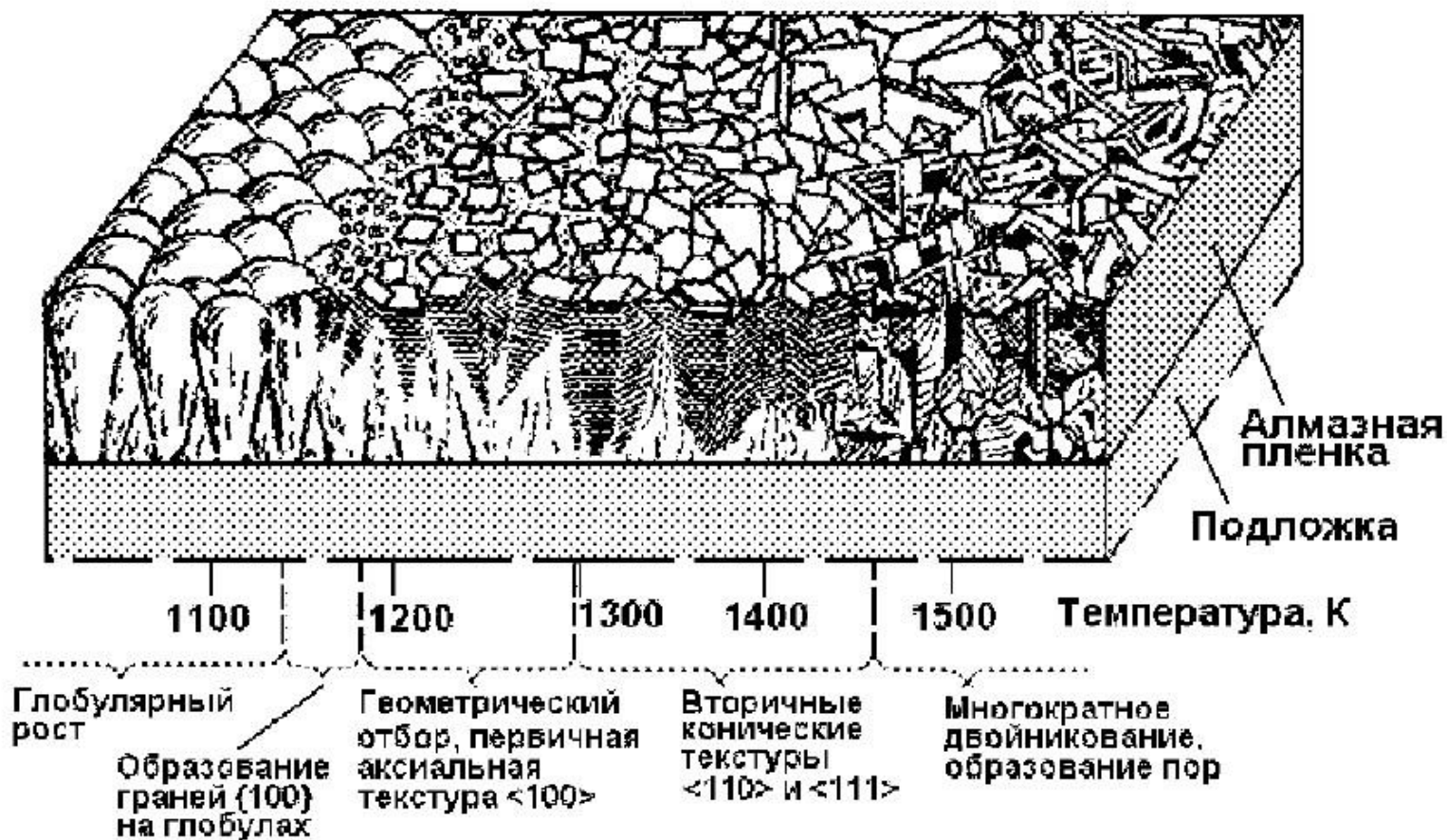
# NEMS

## J E Butler



- A B Hutchinson et al. Dissipation in nanocrystalline-diamond nanomechanical resonators *Appl Phys Lett*, **84**, 972-974 (2004).
- L. Sekaric et al. Nanomechanical resonant structures in nanocrystalline diamond *Appl Phys Lett*, **81**, 4455-4457 (2002).

# Structure of CVD films

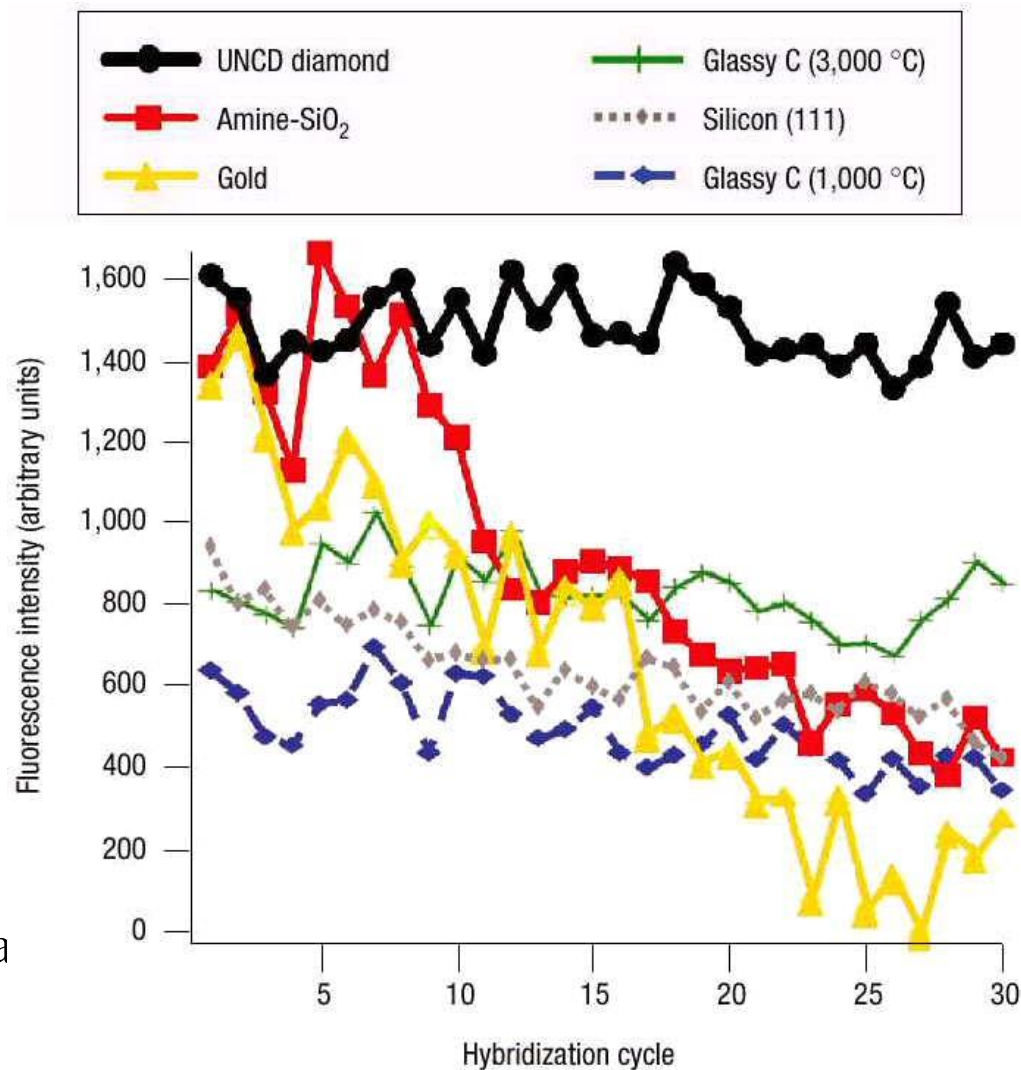


The morphology of CVD films strongly depends on growth temperature



# NCD и UNCD films modified by DNA

- Comparison of DNA-modified UNCD films with such as gold, silicon, glass and glassy carbon, showed that
- diamond is unique in its ability to achieve very high stability and sensitivity
- compatible with microelectronics processing technologies
- diamond thin-films - ideal substrate for integration of microelectronics with biological modification and sensing
- W. Yang et al., DNA-modified nanocrystalline diamond thin-films a stable, biologically active substrate *Nature Materials*, **1**, 253-257, 2002



# Selective absorption of molecules based on diamond nanotechnology

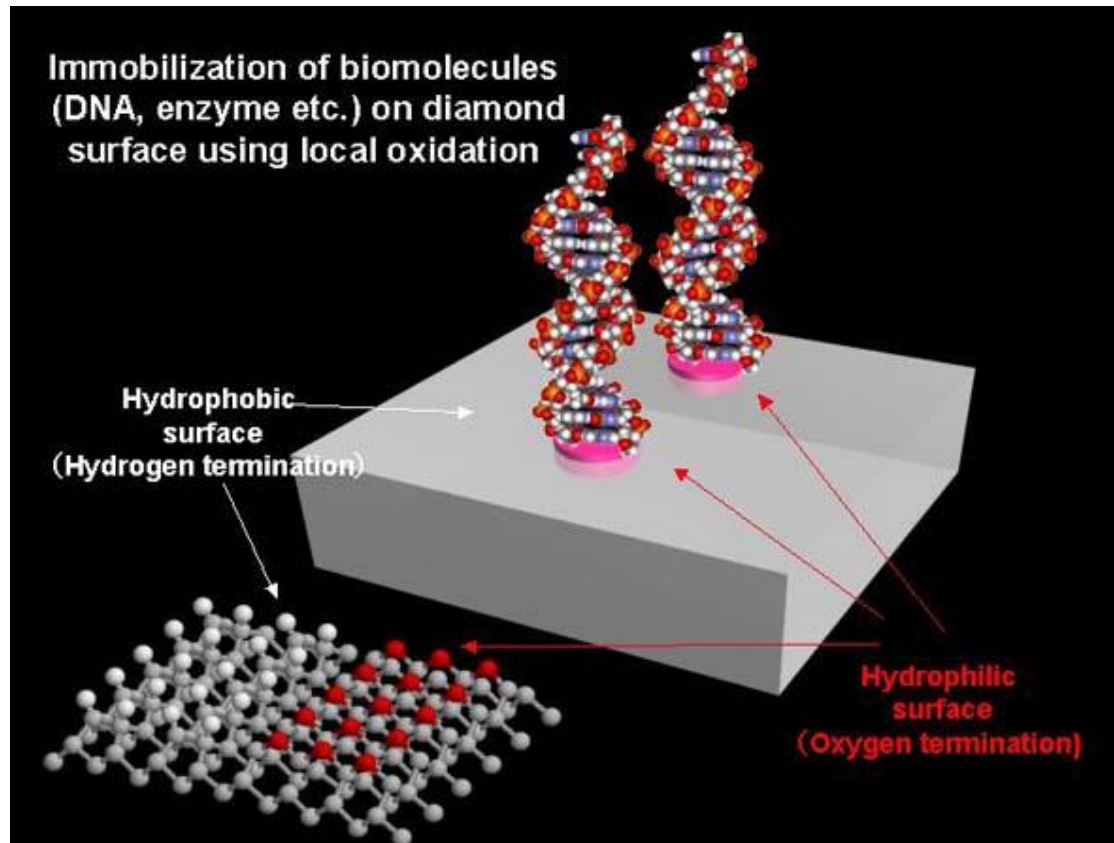


Fig. 1 Immobilization of biomolecules (DNA, enzyme etc.) on diamond surface using local oxidation

- Kawarada Lab

<http://www.coe.waseda.ac.jp/kawarada/enzyme/chem.htm>

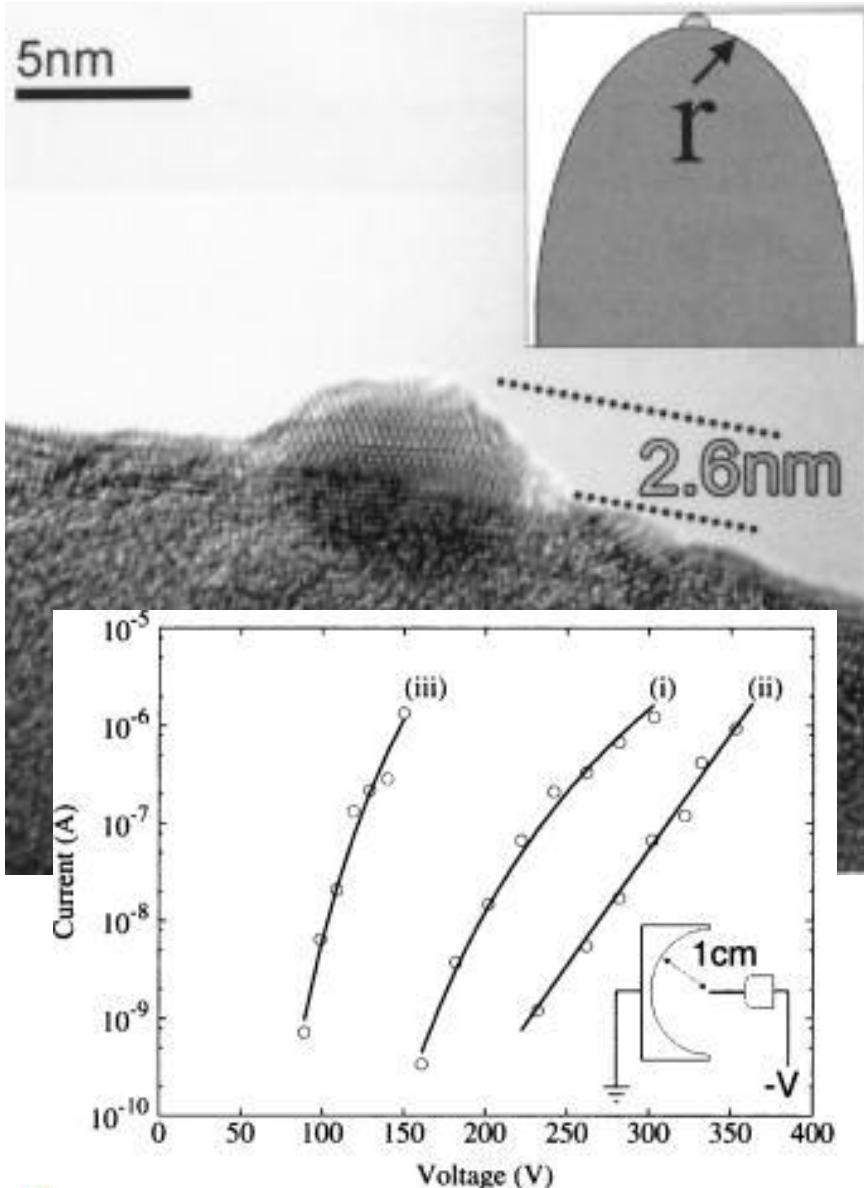
# Nanodiamond for Electronics

New technological developments using ND in

- solid-state electronics
- vacuum microelectronics
- the opportunity for making MEMS & NEMS
- new devices in nanoelectronics



# FE from a single isolated ND



- High-resolution transmission electron microscope image of isolated ND particle on the surface of Mo tip of 60 nm radius of curvature.

FE from a bare metal tip (i), the tip with a single ND (ii), and the same tip with a ND film (iii). Inset: schematic of tip-to-anode geometry.

T. Tyler et al. *Appl Phys Lett*, **82**, 2904 (2003)

# Fundamental & Applied Prospects

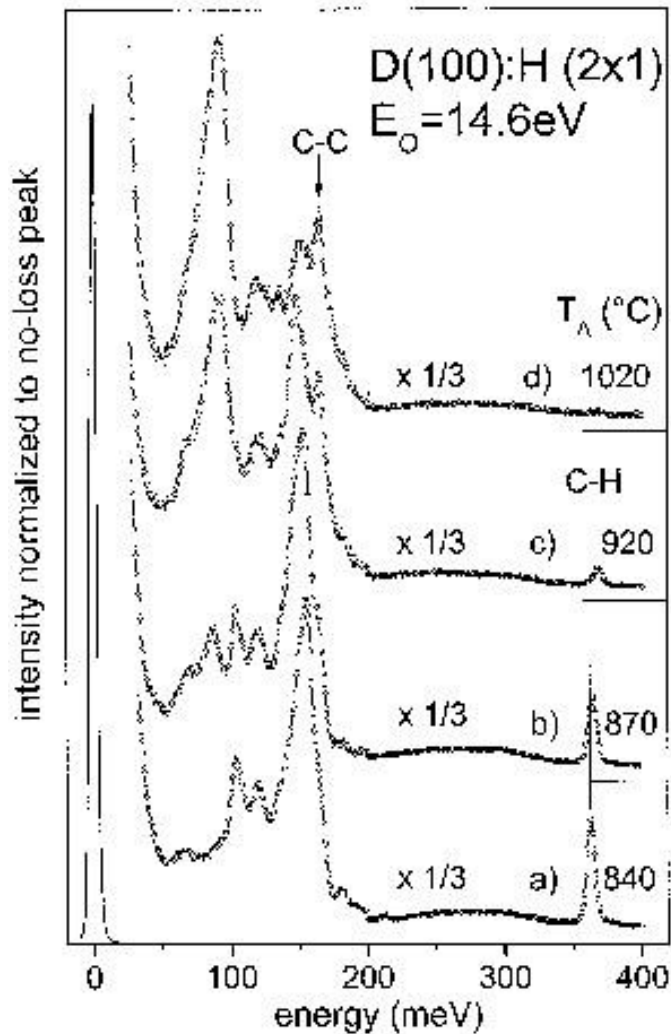
- Hall effect in zero-dimensional dots
- Coulomb and electromagnetic blockade
- EPR – NDS exists at diamond surface
- ND in carbon based electronics
- Potential of ND for spintronics
- UHF and terahertz properties of ND and NDC
- New application of ND – protein interaction

Nanodiamond R&D might be relevant for this as CAPE is involved in Fraunhofer-type research activities

# Diamond Quantum Dot (DQD)

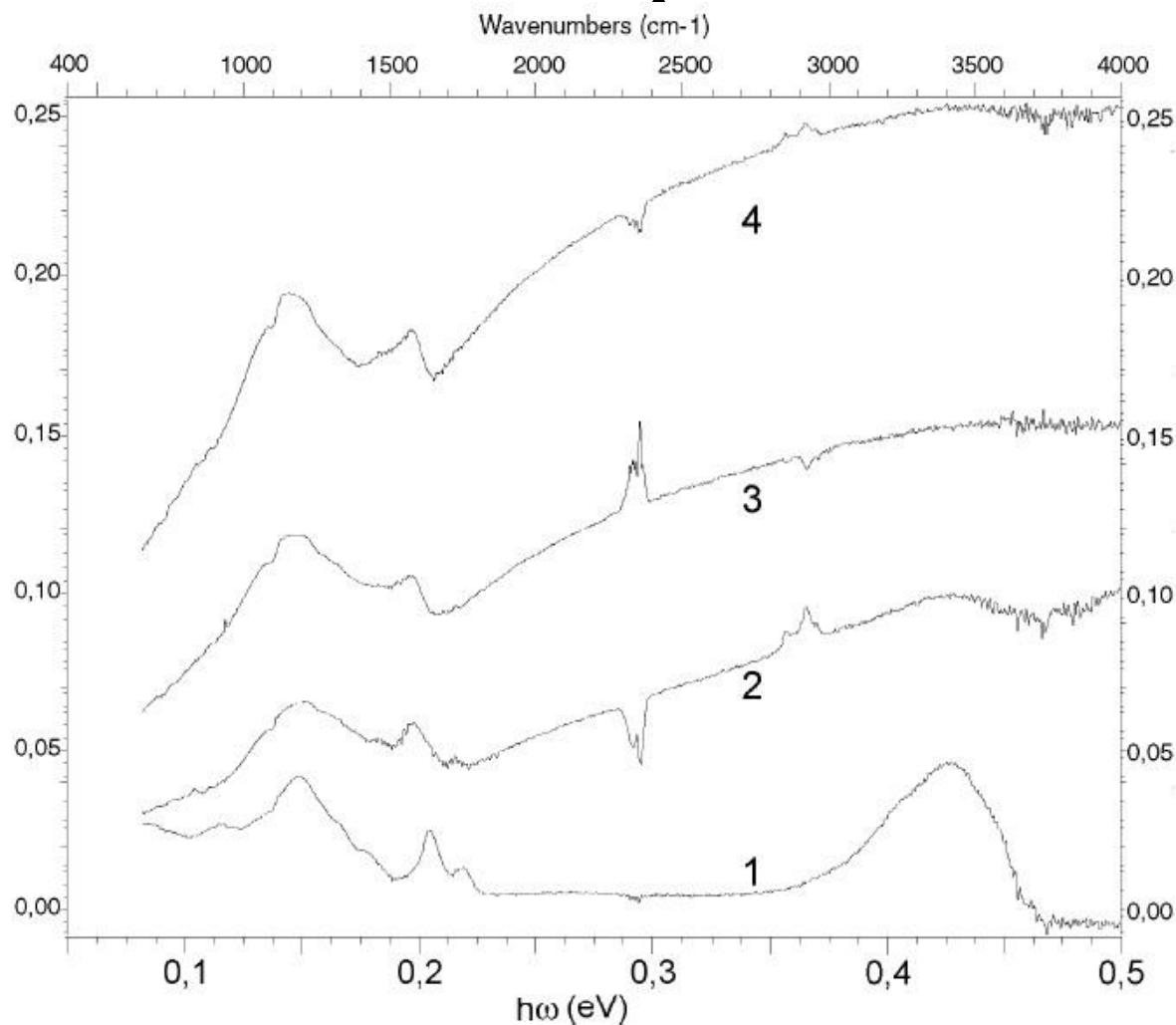
- A model of a diamond quantum dot (DQD) is based on the representation of collective electronic-vibrational states at Tamm levels in clusters with a self-consistent boundary.

# HREELS of Diamond Surface



- High-resolution electron energy loss spectroscopy (HREELS) is intrinsically surface sensitive.
- Energy of the vibrational modes diamond surfaces essentially changes in a range 50-400 meV depending on a surface state.
- J. Kinsky et al., Surface vibrations on clean, deuterated, and hydrogenated single crystal diamond (100) surfaces studied by high-resolution electron energy loss spectroscopy // *Diam. Rel. Mater.* **11**, 365-370 (2002).

# FTIR reflection spectra of NDC



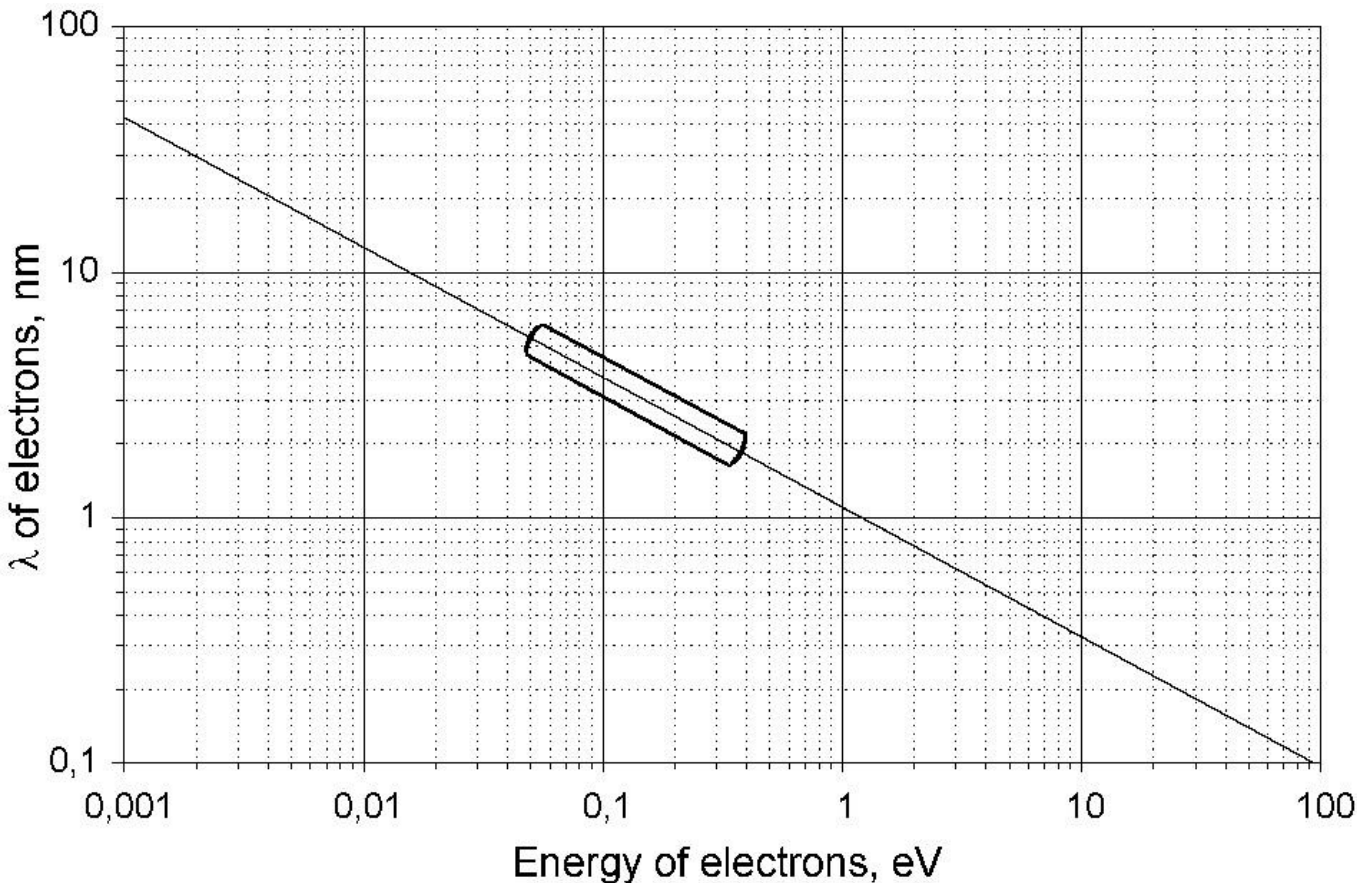
obtained from a few  $\gamma$ . (1)  $\gamma = 0$ ; (2)  $\gamma = 10$ ; (3)  $\gamma = 20$ ; (4)  $\gamma = 30$ .





# DQD is good defined by associated (de Broglie) waves of electron

***The region of the thermodynamical stability is shown***



**C atoms ~**

1,100-25,000

- 1.9-5.2 nm
- $\lambda \sim 4$  nm
- $E \sim 0.1$  eV
- $E, p; \nu = E/h;$

$$\lambda = h/p;$$

$$p = m_e c;$$

$$h = 6,6748 \cdot 10^{-27}.$$



# Toward wave $\psi$ -function of NDS

- Diamond quantum dot has own electronic states  $\sigma_s^1 \sigma_p^2 \pi^1$  (no  $\pi$ -band)
- “Plasmon” in low-loss spectrum and pre-peak in core-loss (EELS, X-ray absorption)
- This  $\ddot{e}$  state  $\sigma_s^1 \sigma_p^2 \pi^1$  is not  $sp^2$  or linear combination of  $sp^1$ ,  $sp^2$ ,  $sp^3$
- Self-consistent quantum of sound exists in DQD
- Free spin (unpaired electron) at NDS

# A Model of NDS – Hopf Soliton

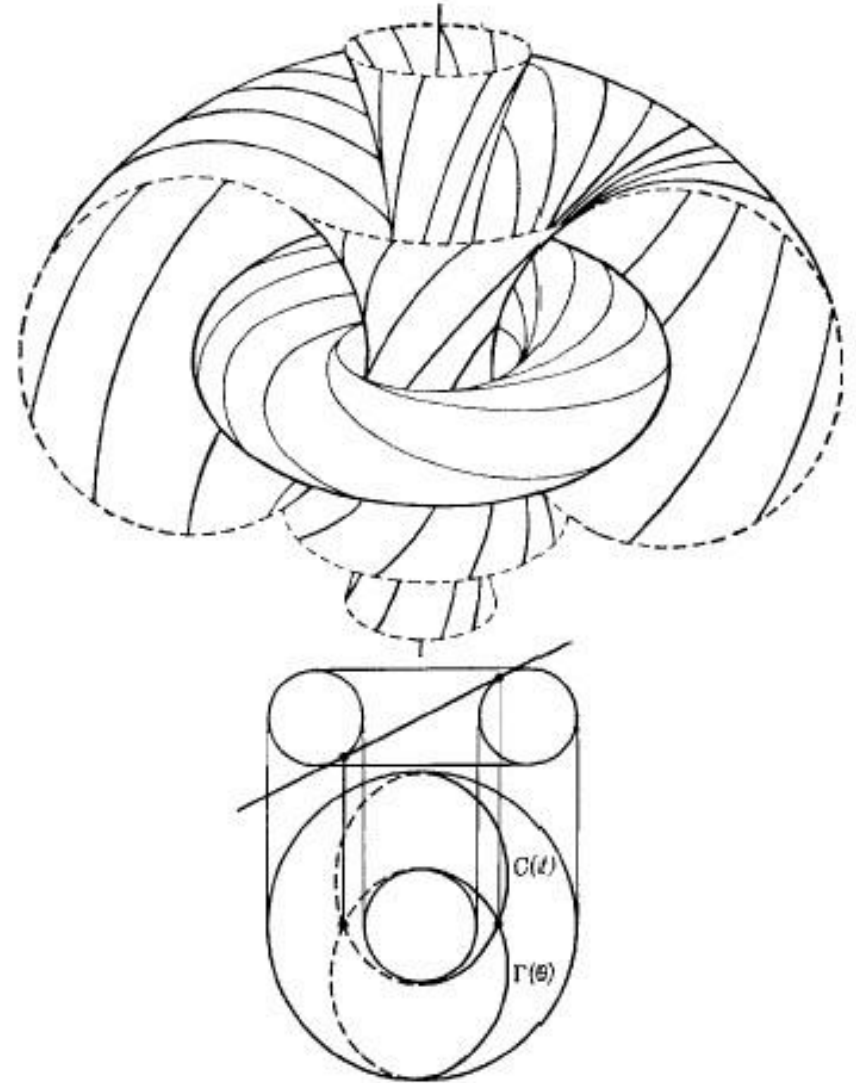
$m(x, y, z)$

$$m_1(x, y, z) = \left( \frac{2}{1+r^2} \right)^2 [-y - 2xz + yr^2],$$

$$m_2(x, y, z) = \left( \frac{2}{1+r^2} \right)^2 [x - 2yz - xr^2],$$

$$m_3(x, y, z) = -1 + \left( \frac{2}{1+r^2} \right)^2 [2x^2 + 2y^2].$$

$$\mu = \frac{2}{1+r^2}$$



# Kitchen Nanotechnology

Old before  
12 March 2004

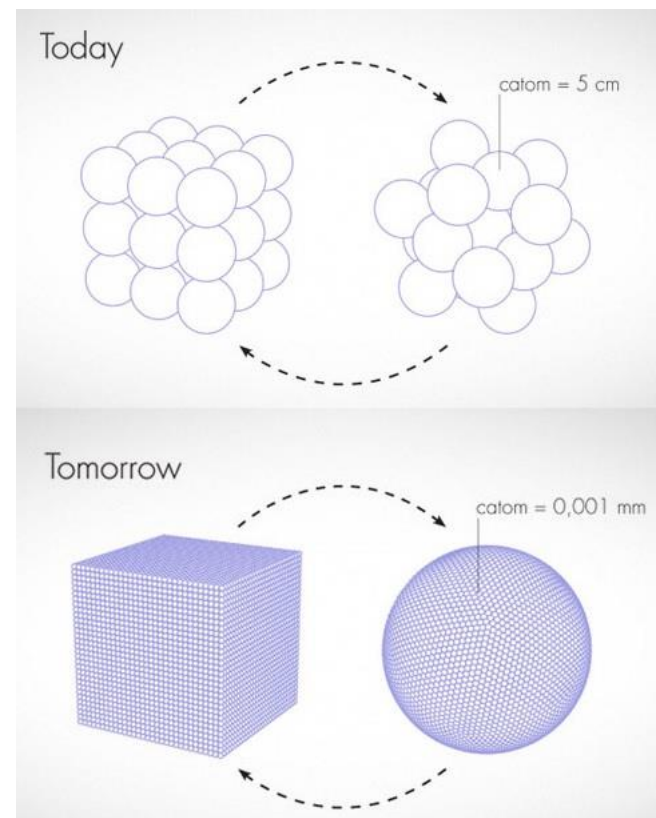
[https://www.youtube.com/watch?v=QDb83Y\\_OMts](https://www.youtube.com/watch?v=QDb83Y_OMts)



[https://www.youtube.com/watch?v=QDb83Y\\_OMts](https://www.youtube.com/watch?v=QDb83Y_OMts)

Living Kitchen (2010)  
The Future of NanoTech

<http://michaelharboun.com/livingkitchen.html>



# Nanosphere Lithography (NSL)

C L Haynes *et al.*, 2001,  
*J Phys Chem B*, **105** (24), 5599  
 doi: 10.1021/jp010657m

A Drezet *et al.*, 2015  
*Micron* **70**, 55-63.  
 doi:10.1016/j.micron.2014.12.004

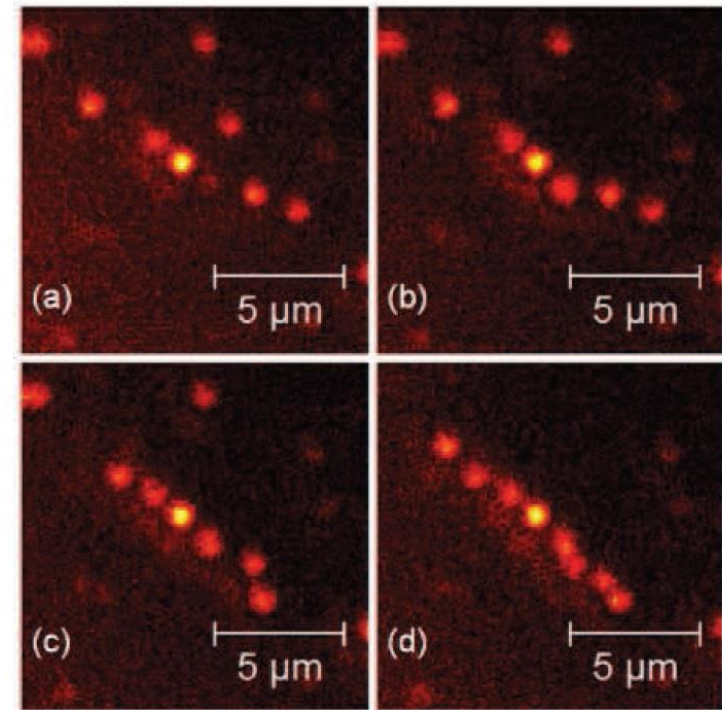
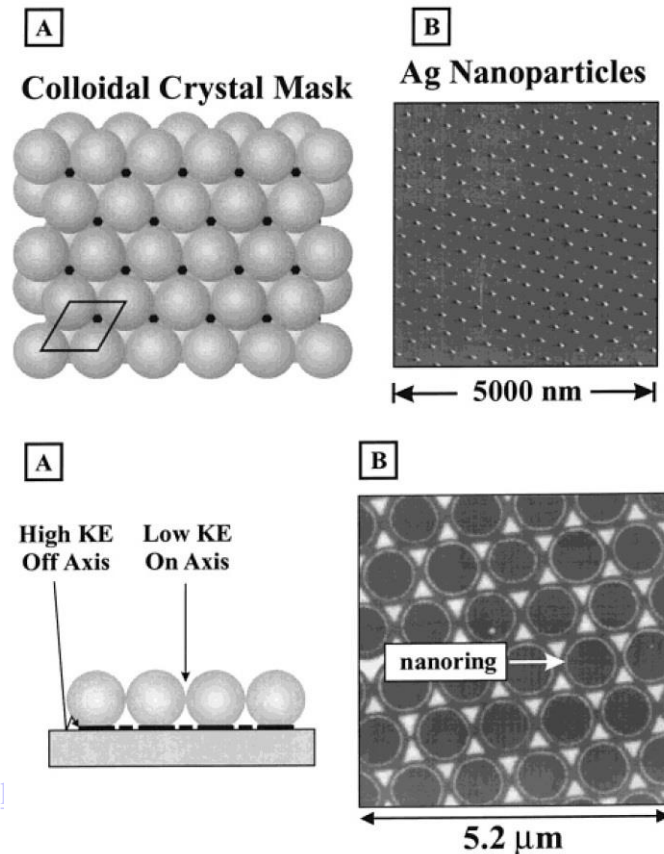
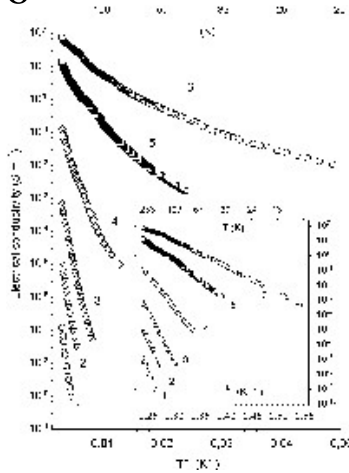
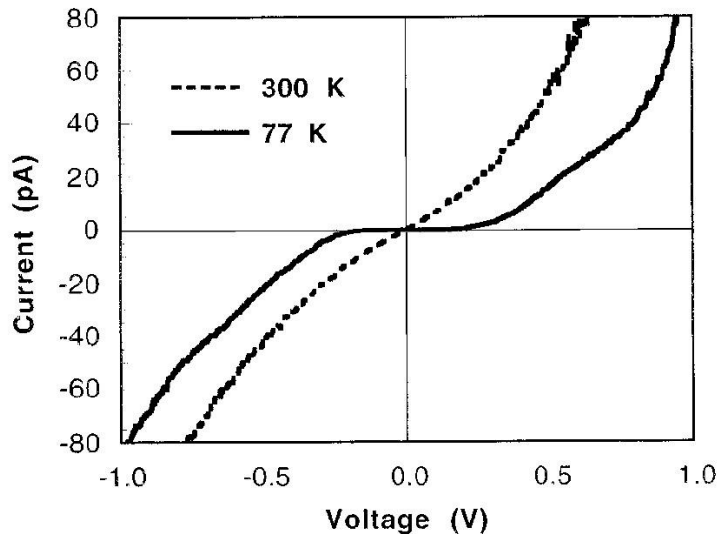


FIG. 8: (a-d) An illustration demonstrating how a NSOM tip can be used to align 8 fluorescent diamonds (80 nm diameter) on a glass substrate.

# Experiment 1 – new quantum effects

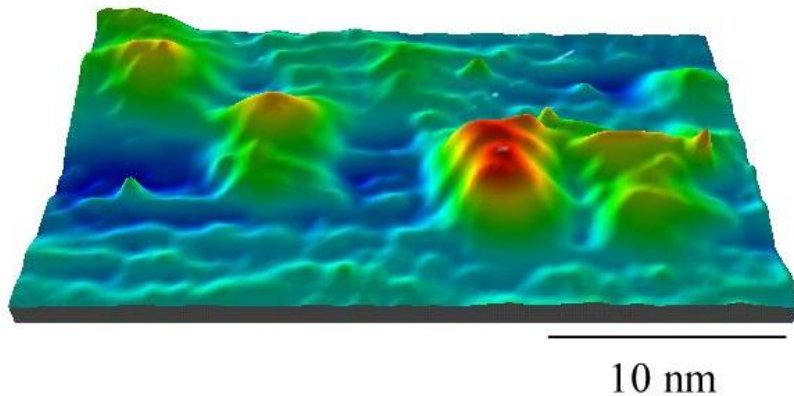
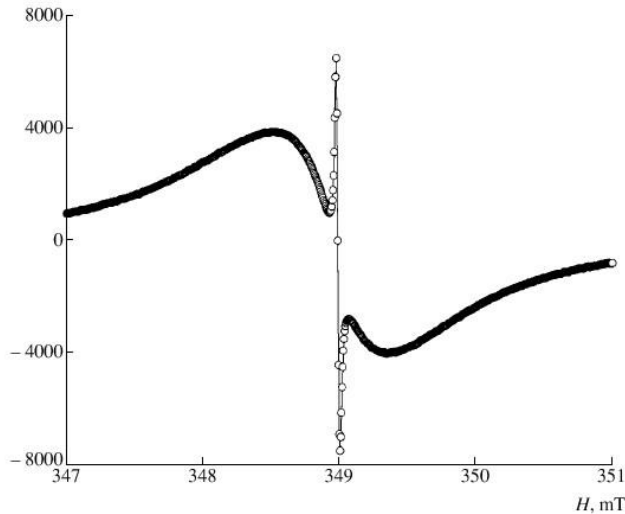


Waiting result



- Hall effect in zero-dimensional dots
- OD Hall effect
- Coulomb and electromagnetic blockade into NDC
- NDS at diamond surface

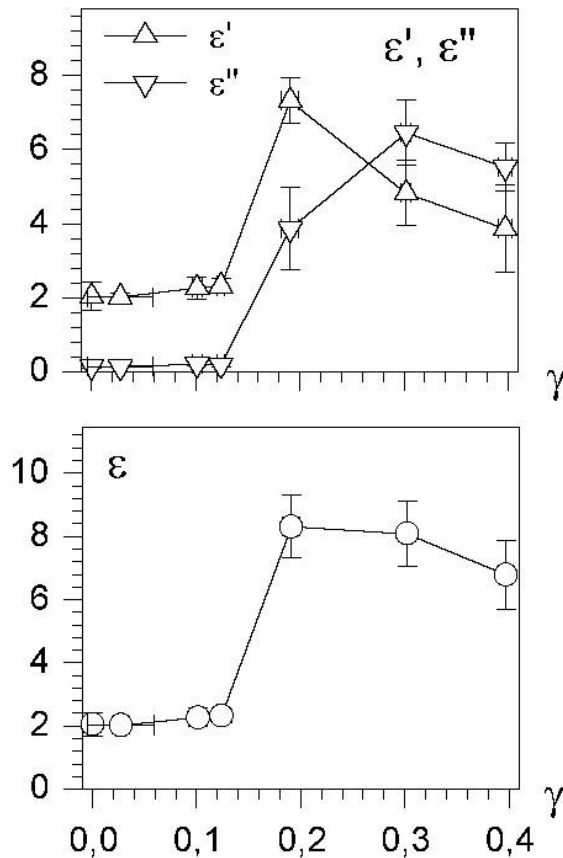
# Experiment 2 – EPR



- EPR with optical detection
- Proof of paramagnetic invariant
- Potential of ND for spintronics – nature of free electron

C. Durkan and M. E. Wellan *Appl. Phys. Lett.* **80**, 458-460 (2002).

# Experiment 3 – terahertz



- UHF and terahertz properties of ND and NDC (perhaps using serial terahertz spectrometer)
- To find NDC regimes at high UHF field
- Gunn had discovered diode oscillators when he studied a noise in semiconductors



# Future info to exp.1

- TBA

# Future info to exp.2

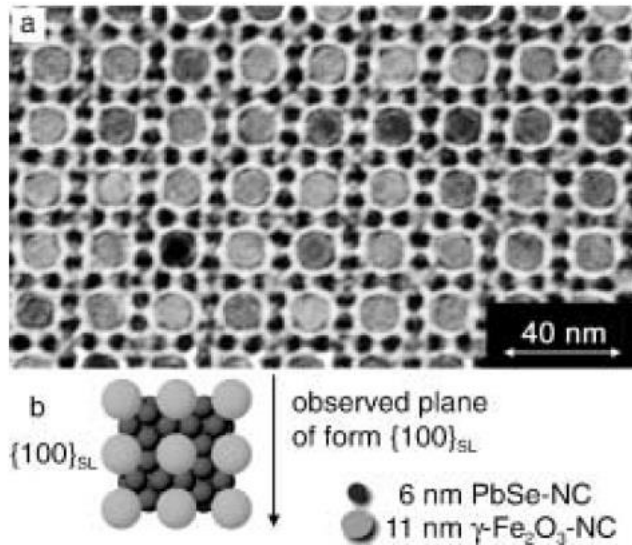
- TBA

# Future info to exp.3

- TBA

# Binary Superlattices of Nanoparticles

AL Rogach *et al.*, 2003, Self-Assembly Leads to “Metamaterials”,  
Ang Chemie, **43** (2), 148  
doi: 10.1002/anie.200301704



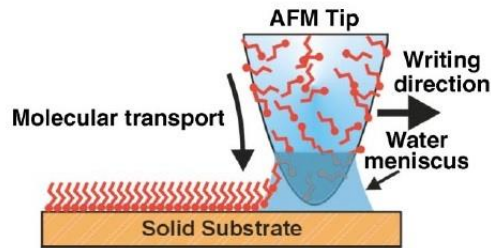
**Figure 2.** a) TEM image of a 3D superstructure of  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> (11 nm) and PbSe nanoparticles (6 nm). b) Schematic representation of the AB<sub>13</sub> superlattice (isostructural with intermetallic phase NaZn<sub>13</sub>). Reprinted with permission from reference [6].

(DPG Spring Meeting),  
Heidelberg, 23-27 March 2015

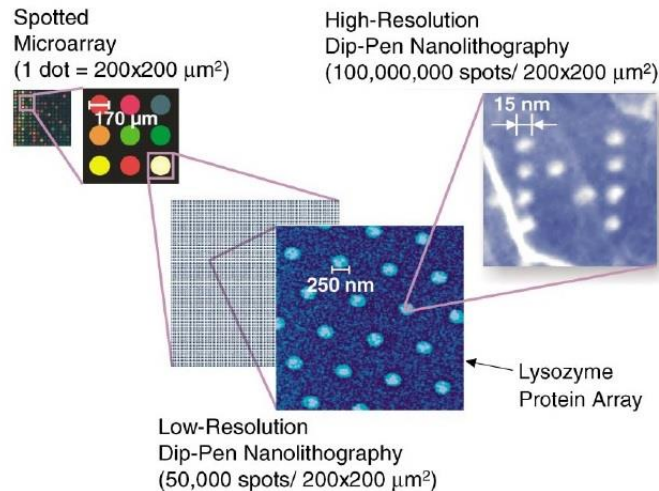
- Quantum Optics and Photonics
- Q 25.4 C Schäfermeier *et al.*, Silicon vacancy (SiV) centers and their electronic-spin coherence in nanodiamonds
  - By investigating SiV centres present in nanodiamonds less than **100 nm** in size, we were not only able to confirm the understanding of the underlying decoherence processes
- Q 41.4 U Jantzen *et al.*, Bulk-like spectral lines from SiV centres nanodiamonds (**~50 nm**)
- etc.

# “Dip-Pen” Nanolithography (DPN)

Chad Mirkin *et al.*, 1999,  
 Science **283** (5402), 661-663  
 doi:10.1126/science.283.5402.661

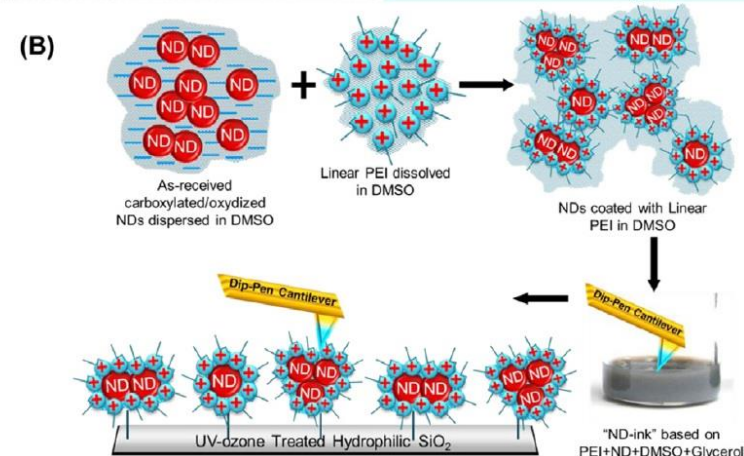
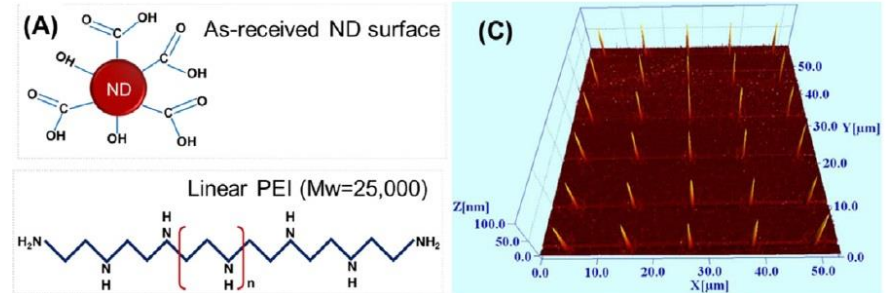


Schematic representation of the DPN process.



Schematic illustration of the power of DPN resolution in the context of biomolecular nanoarray fabrication.

S Singh *et al.*, 2014, ND array + SiV centers by DPN, Nanotechnology **25**, 045302. ND dot diameter and height  
 735 nm ± 27 nm and 61 nm ± 3 nm  
 820 nm ± 20 nm and, 245 nm ± 23 nm



# Conclusions

- The properties of NDS are uniform
- Existing of ND is natural phenomena
- There are very useful applications
- NDS is star for nanoelectronics
- ND project is adequate for CAPE set

